

# **Extracorporeal Approaches to Immunomodulation in Septic Conditions**

---

**William R. Clark, M.D.**

**Nephrology Division**

**Indiana University of Medicine**

**Indianapolis, Indiana USA**

**Hong Kong Society of Critical Care Medicine**

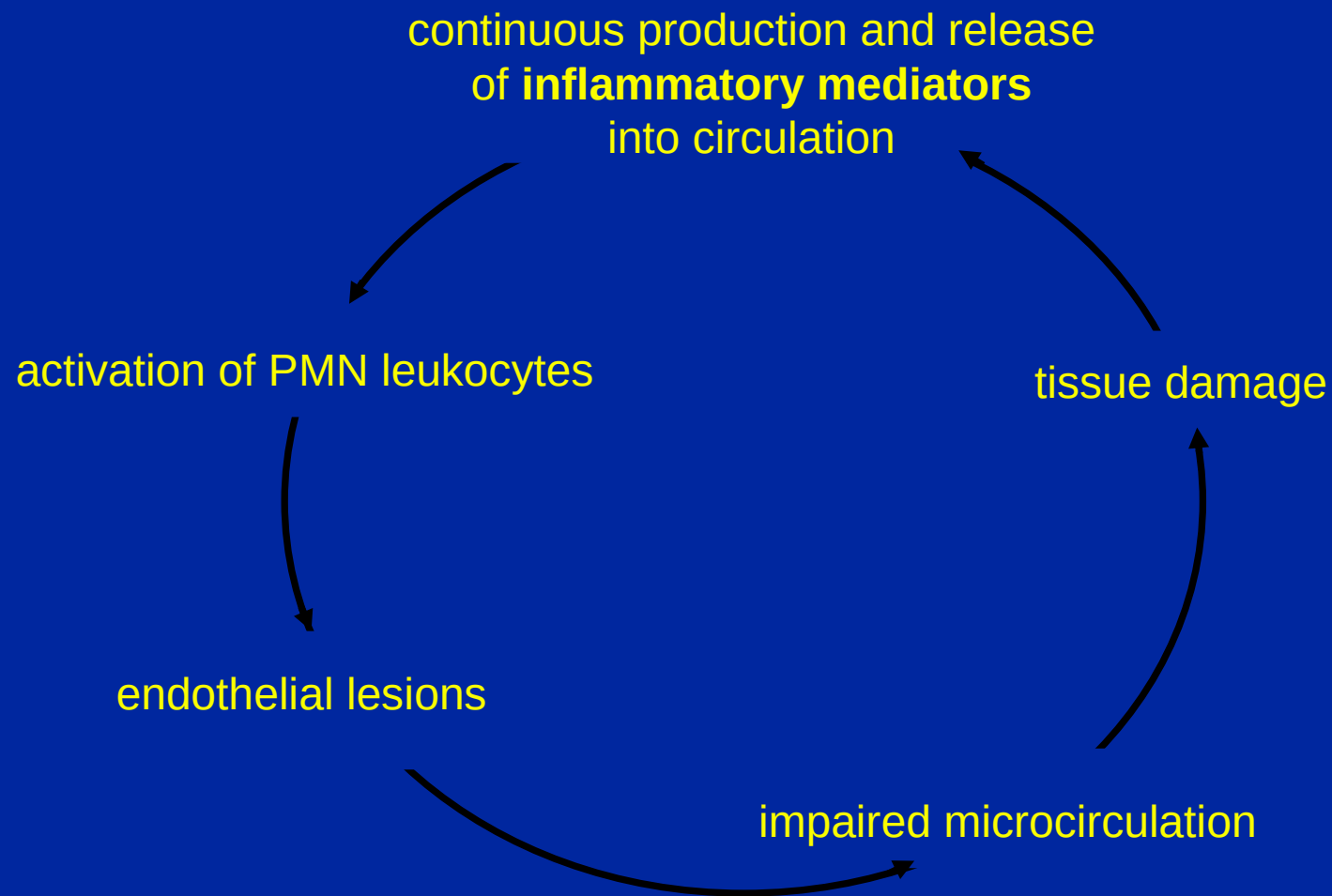
***12 December, 2010***

***Hong Kong, China***

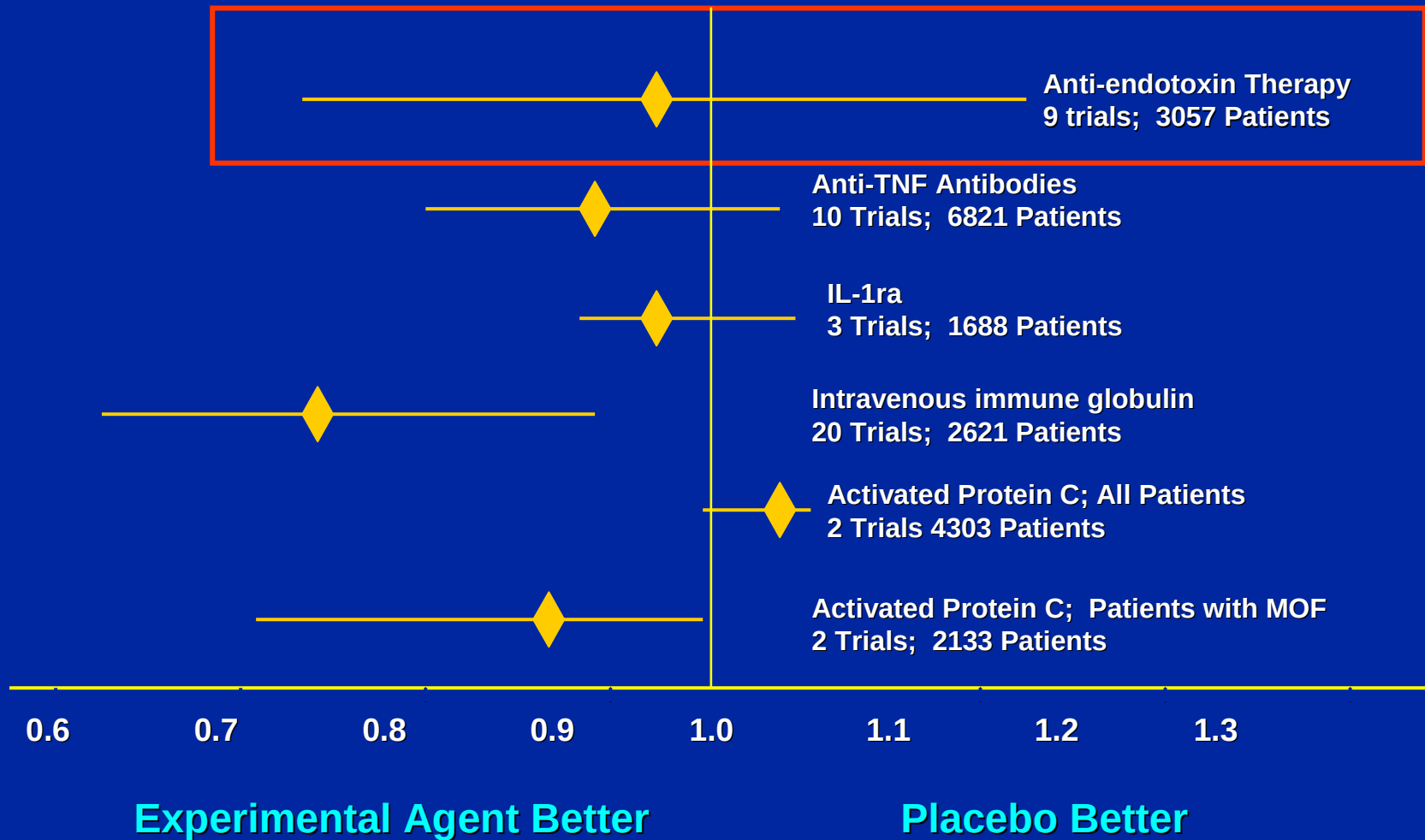
# Outline

- Fundamental principles of extracorporeal therapy
- Effect of “standard” CRRT on outcome in septic AKI
- Extracorporeal endotoxin removal: biologic and clinical rationale
- Adapted CRRT techniques for septic acute kidney injury (AKI) conditions
- High cut-off (HCO) membrane-based and AN69 membrane-based approaches

# Inflammatory Mediators in Sepsis



# Adjuvant Therapy in Sepsis



- Marshall, *J Leukoc Biol* 83:471, 2008

# Options for Removing/ Antagonizing Endotoxin

Inhibition of LPS synthesis or immune clearance

Lipid A synthesis inhibitors

LPS vaccines

Anti-LPS-antibodies

Removal of LPS (or other microbial antigens) from the blood or other body fluids

Removal of LPS or other microbial constituents by filtration or absorption (columns, polymyxin B)

LPS-binding protein (LBP)

Phospholipid binders (high-density lipoproteins)

Non-specific removal  
(Ex: oXiris)

Inhibition of LPS/CD14 interactions  
Inhibition of cell signal transduction

CD14 antagonists

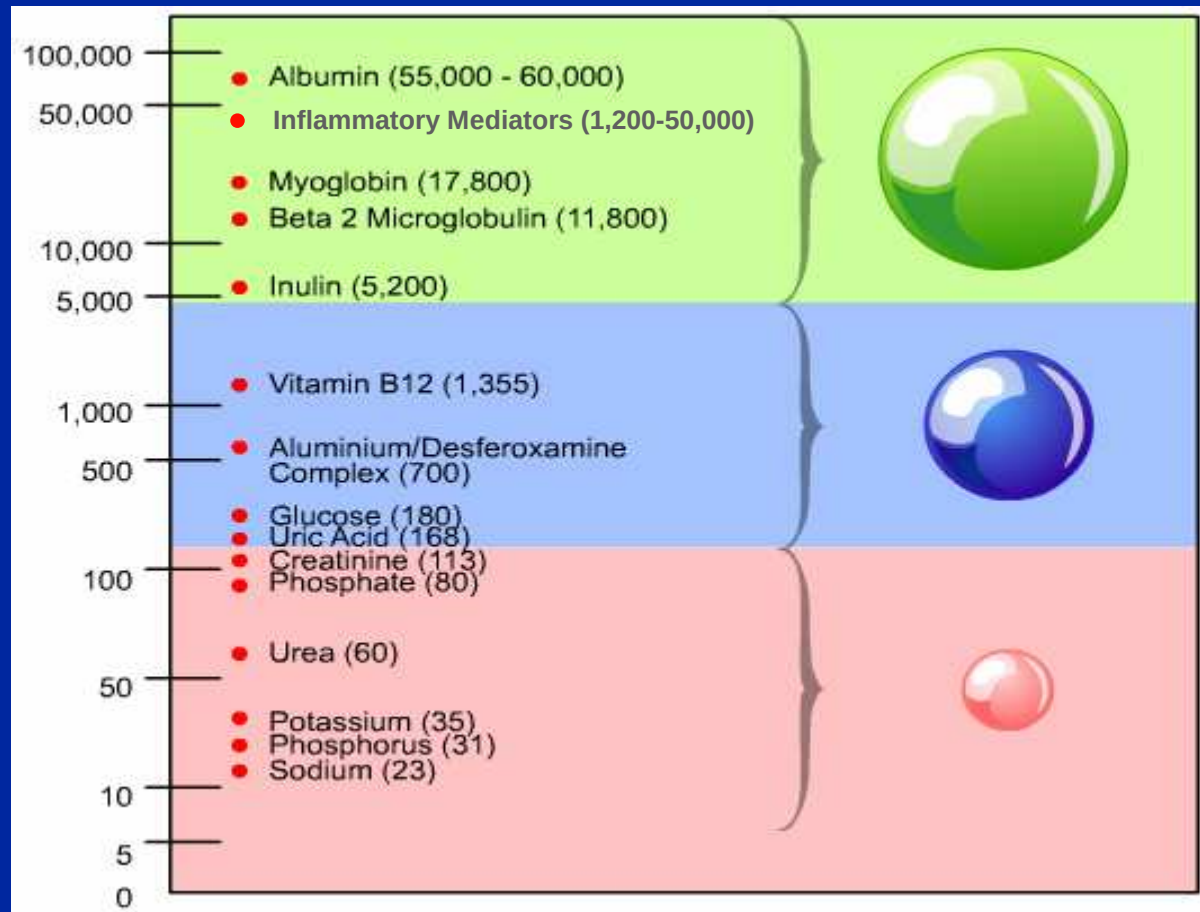
TLR receptor blockade E5564

NF- $\kappa$ B inhibition

Specific removal

# Solute Classes by Molecular Weight

Daltons



“large”

“middle”

“small”

# Mediators: Physical Properties

|              | Protein Binding | MW         |
|--------------|-----------------|------------|
| C3a desArg   | no              | 2500       |
| C5a desArg   | no              | 2800       |
| Il-1a        | no              | 17000      |
| Il-1Ra       | no              | 14000      |
| TNF $\alpha$ | Partly          | 17,500 x 3 |
| sTNFR I      | yes             | 55000      |
| sTNFR II     | yes             | 75000      |
| Il-6         | no              | 25000      |
| Il-8         | no              | 9000       |
| Il-10        | no              | 18000      |
| P A F        | Partly          | 450        |
| Factor D     | yes             | 23000      |

# Limited Effect of “Standard” CRRT on Plasma Inflammatory Mediator Levels

Herring et al, Kidney Blood Press Res 2003

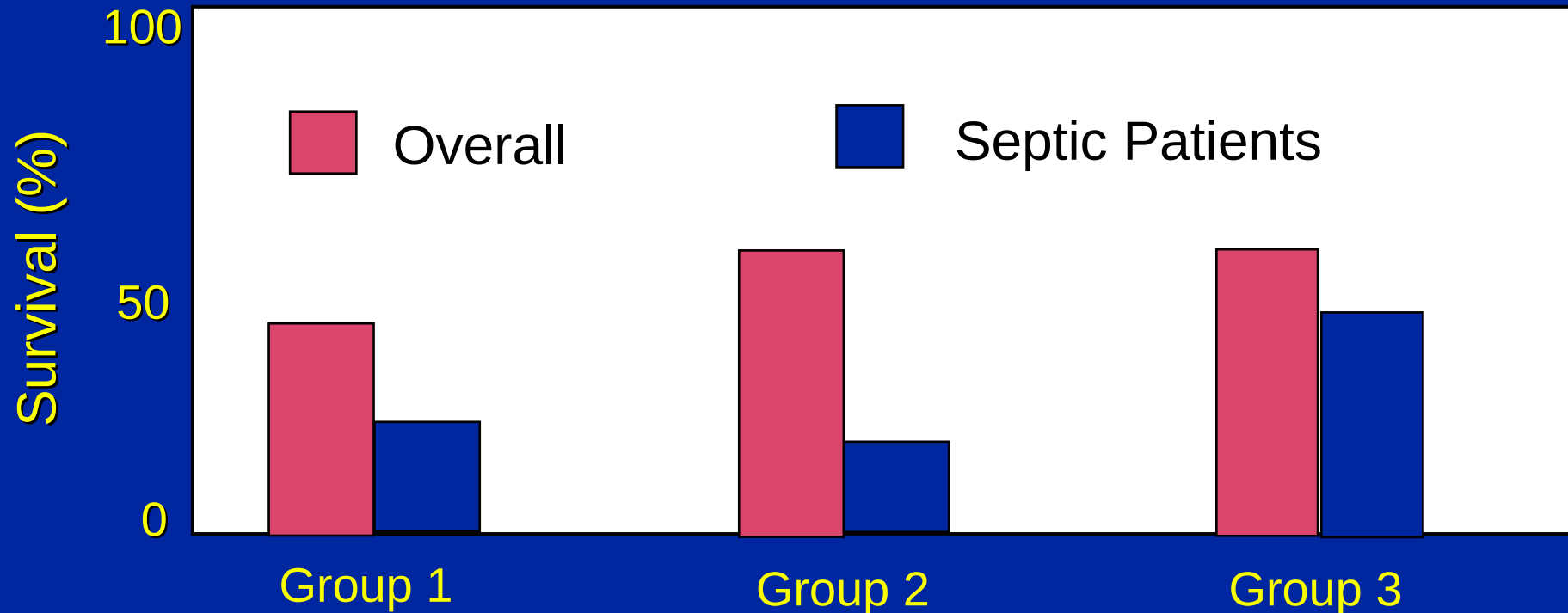
| Study (first author) | Patients n/disease            | Therapy                | Mediator                                                                                     | Elimination in the ultrafiltrate                              | Effect on plasma levels                                        | Clinical data                                    |
|----------------------|-------------------------------|------------------------|----------------------------------------------------------------------------------------------|---------------------------------------------------------------|----------------------------------------------------------------|--------------------------------------------------|
| Heering [10]         | 33 sepsis/<br>cardiac failure | CVVH                   | TNF- $\alpha$ , IL-1 $\beta$ , IL-6, IL-8,<br>IL-2, IL-10, TNF-RII,<br>IL-11ra, IL-2R, IL-6R | Detection of<br>cytokines in the<br>ultrafiltrate             | No reduction                                                   | Improvement of<br>cardiovascular<br>hemodynamics |
| Hoffmann [11]        | 16 MOF                        | CVVH                   | TNF- $\alpha$ , IL-6, IL-1 $\beta$ ,<br>IL-8, C5a, C3, C3a                                   | Detection of<br>IL-8                                          | Complement<br>↓                                                | Improvement of<br>cardiovascular<br>hemodynamics |
| Kellum [23]          | 13 ARF, SIRS                  | CVVH/D                 | TNF- $\alpha$ , IL-6,<br>Selectin, Etox                                                      | Minimal                                                       | No reduction,<br>IL-6, IL-10;<br>reduction of<br>TNF- $\alpha$ | n.s.                                             |
| Van Bommel [34]      | 9 SIRS                        | CVVH                   | TNF- $\alpha$ , sTNFr I/II,<br>IL-1ra                                                        | IL-1ra                                                        | No reduction                                                   | No effect                                        |
| De Vriese [24]       | 15 sepsis                     | CVVH                   | TNF- $\alpha$ , IL-1 $\beta$ , IL-6,<br>IL-1ra, sTNFr-I/II, IL-10                            | TNF- $\alpha$ , IL-1 $\beta$ ,<br>IL-6, IL-1ra,<br>sTNFr-I/II | Reduction                                                      | Improvement of<br>cardiovascular<br>hemodynamics |
| Sanchez [25]         | 30 trauma<br>ARF              | 15 CVVH<br>15 controls | TNF- $\alpha$ , IL-6                                                                         | Elimination<br>TNF- $\alpha$ , IL-6                           | No reduction                                                   | n.s.                                             |
| Sander [31]          | 28 SIRS                       | CVVH,<br>controls      | TNF- $\alpha$ , IL-6                                                                         | IL-6                                                          | No reduction                                                   | No improvement<br>of hemodynamics                |
| Schumacher [32]      | Sepsis                        | CVVH                   | NO <sub>2</sub> /NO <sub>3</sub>                                                             | n.s.                                                          | Reduction                                                      | n.s.                                             |
| Cole [4]             | 11 sepsis/<br>MOF             | CVVH/<br>HV-HF         | Anaphylatoxins<br>C3a, C5a                                                                   | Minimal                                                       | Reduction                                                      | Greater reduction<br>of vasopressors<br>by HV-HF |
| Cole [1]             | 24 early<br>sepsis            | CVVH vs.<br>no CVVH    | IL-6, IL-8, IL-10<br>and C3a, C5b                                                            | n.s.                                                          | No difference                                                  | No clinical<br>improvement                       |

ARF: Acute renal failure; MOF: multiorgan failure; SIRS: systemic inflammatory response syndrome; HV-HF: high-volume hemofiltration; HF: hemofiltration; CAVH: continuous arteriovenous hemofiltration; CVVH: continuous venovenous hemofiltration; CVVHD: continuous venovenous hemodiafiltration; ns: not studied.



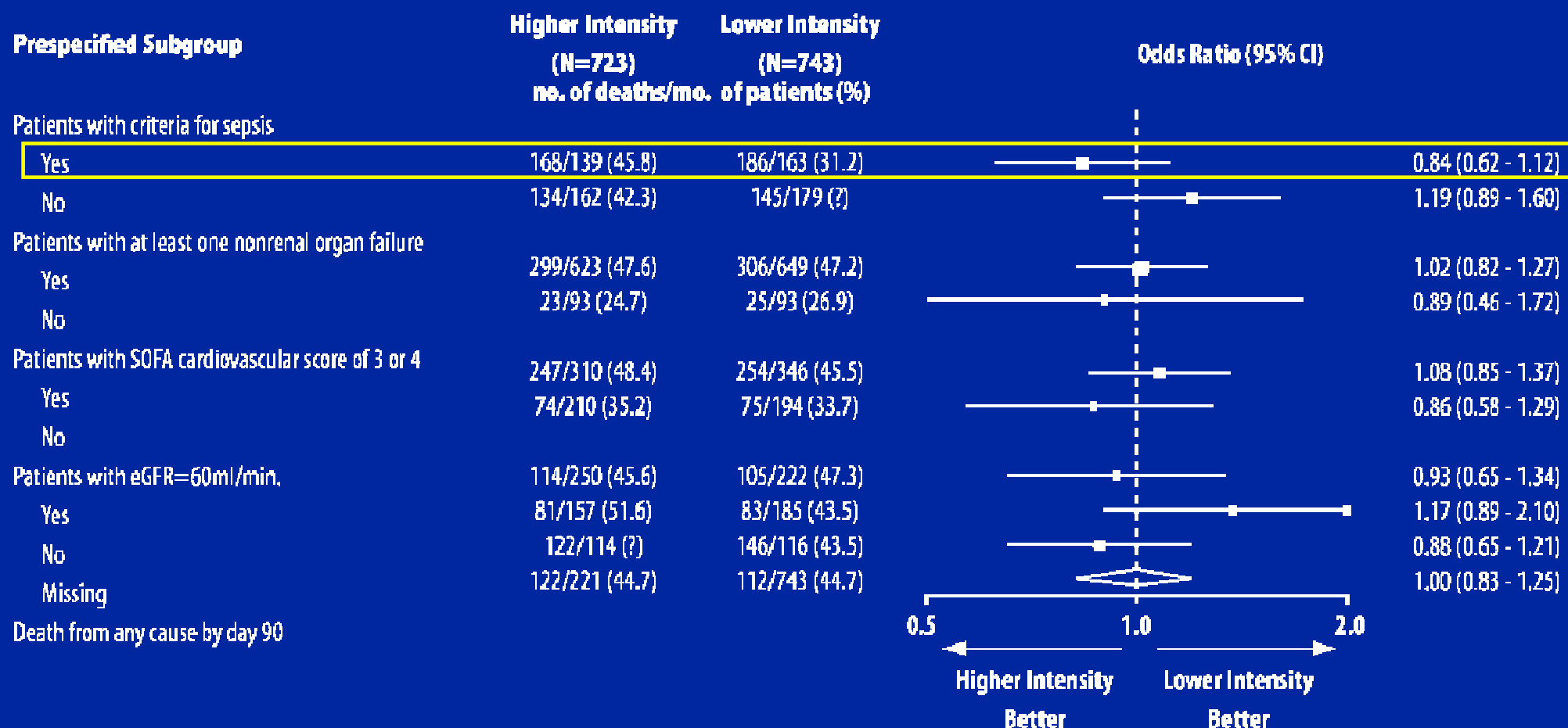
# “Sepsis Dose” in AKI?

Ronco et al., Lancet 2000



| Trial Group | No Sepsis (%) | Sepsis (%)  | p-value |
|-------------|---------------|-------------|---------|
| Group1      | 55/126 (44%)  | 5/20 (25 %) | 0.90    |
| Group 2     | 76/122 (62 %) | 3/17 (18 %) | 0.001   |
| Group 3     | 74/125 (59 %) | 7/15 (47 %) | 0.256   |

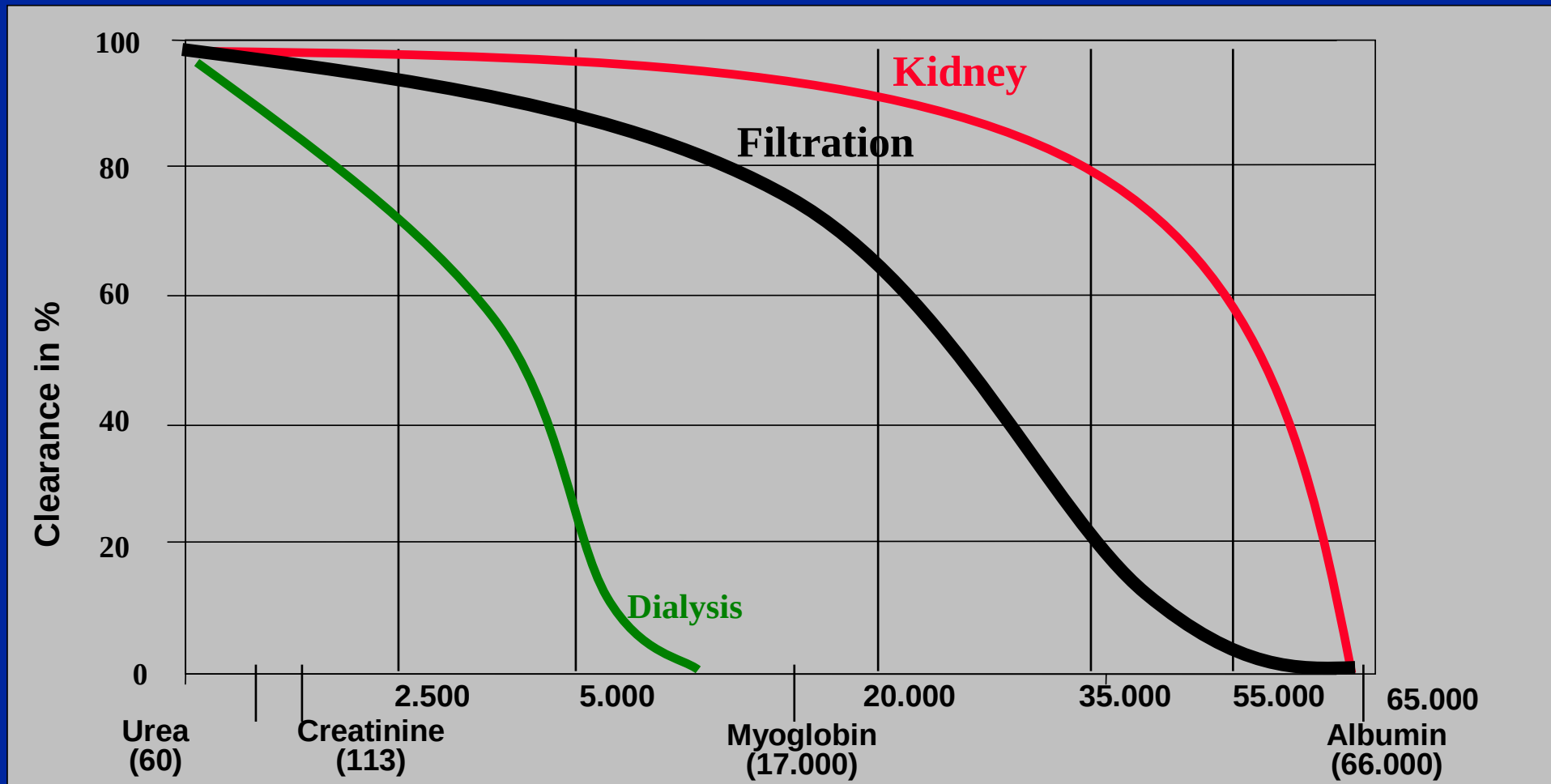
# Mortality Outcomes in RENAL



# Adapted Extracorporeal Approaches for Enhanced Inflammatory Mediator Removal

- Increased rate of fluid exchange (“high-volume hemofiltration”)
- Membrane modifications
  - Permeability (HCO; septeX)
  - **Surface properties (oXiris)**

# Small vs. Large Molecule Clearance



# High-Volume Hemofiltration: Pilot Study

Honore et al, Crit Care Med 2002

|                                                                                                                                                                                                                                       |                                                                                                                                                                                     |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 1° Hemodynamic status <ul style="list-style-type: none"><li>- MAP</li><li>- Inotropic support : after failure of maximal dosages of dopamine and dobutamine-norepinephrine</li><li>- Cardiac index</li><li>- Wedge pressure</li></ul> | <ul style="list-style-type: none"><li>- &lt; 55 mmHg</li><li>- Epinephrine not for more than 2 hours</li><li>- &lt; 2 l/min/m<sup>2</sup></li><li>- &gt; 14 &lt; 18 mm Hg</li></ul> |
| 2° Acid-base balance <ul style="list-style-type: none"><li>- Arterial pH</li><li>- Serum lactate</li></ul>                                                                                                                            | <ul style="list-style-type: none"><li>- &lt; 7.15</li><li>- &gt; 5 mmol/L</li></ul>                                                                                                 |
| 3° Septic status <ul style="list-style-type: none"><li>- SIRS criteria (ACCP/SCCM criteria)</li><li>- Objective source of sepsis</li></ul>                                                                                            | <ul style="list-style-type: none"><li>- 3 out of 4</li><li>- Always present</li></ul>                                                                                               |
| 4° Respiratory support <ul style="list-style-type: none"><li>- Mechanical ventilation</li><li>- paO<sub>2</sub>/FIO<sub>2</sub> ratio</li></ul>                                                                                       | <ul style="list-style-type: none"><li>- All the patients</li><li>- &lt; 100</li></ul>                                                                                               |
| 5° Renal status                                                                                                                                                                                                                       | No incidence at study inclusion                                                                                                                                                     |

Dopamine : up to 20 mg/Kg/min

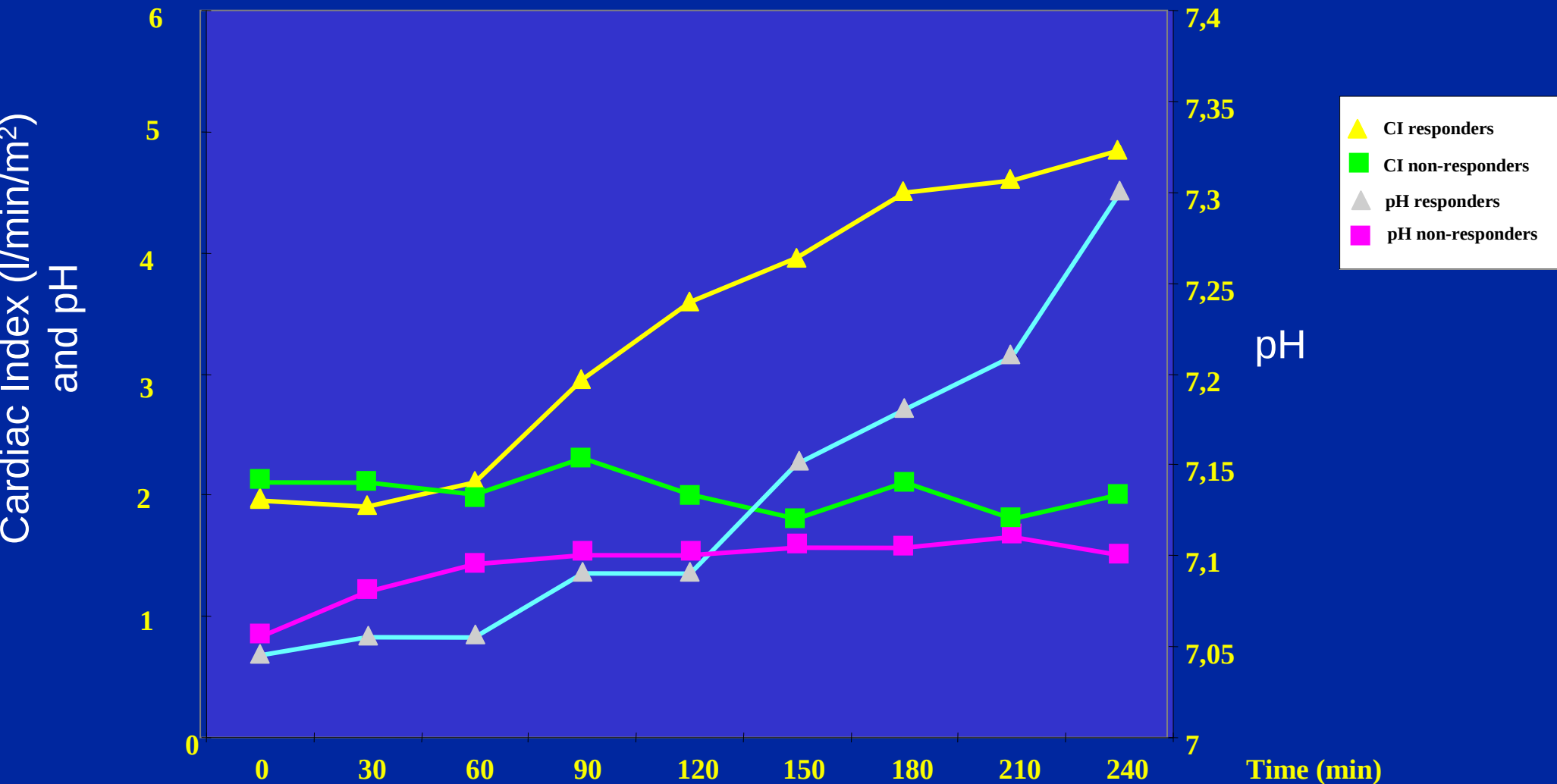
Norepinephrine : up to 2 mg/Kg/min

Dobutamine : up to 14 mg/Kg/min

Epinephrine : up to 0.5 mg/Kg/min

# High-Volume Hemofiltration: Pilot Study

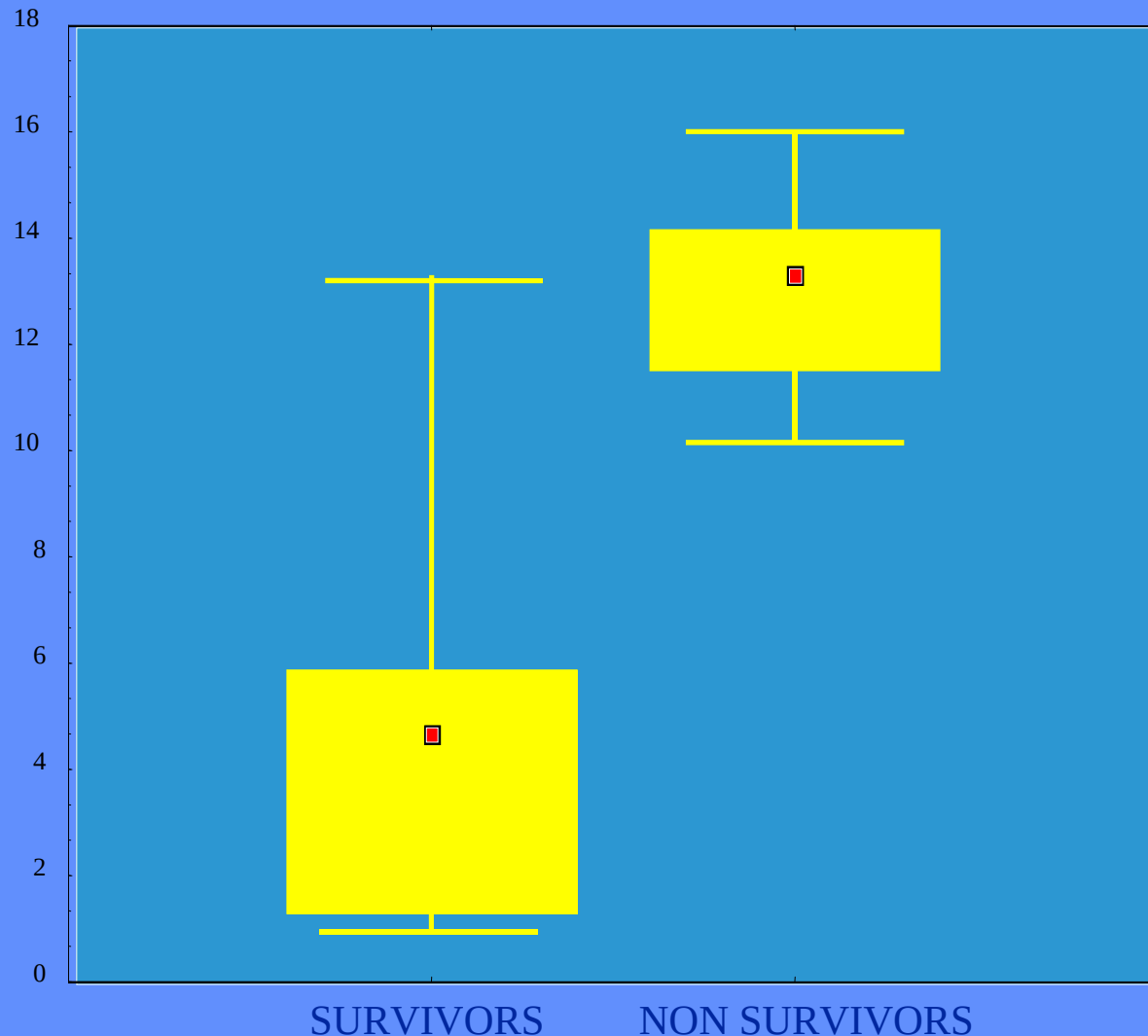
Honore et al, Crit Care Med 2002



# High-Volume Hemofiltration: Pilot Study

Honore et al, Crit Care Med 2004

TIMING  
OF HF  
(hours)



S = MEDIAN  
TIME =  
5.3 hours

NS = MEDIAN  
TIME =  
13.3 hours

P value < 0.01

Min-Max

25%-75%

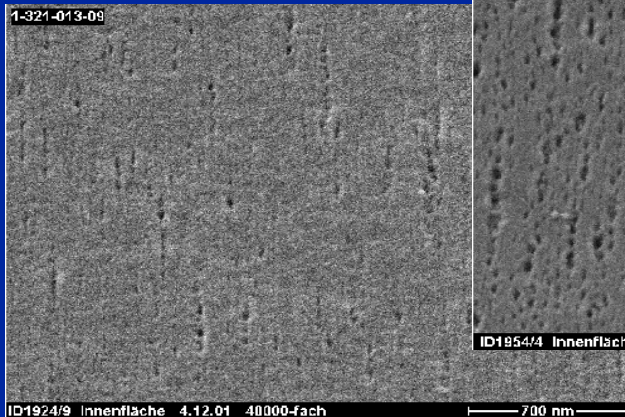
Median value

# Variation of membrane pore sizes

## *Electron micrographs of inner membrane surface*

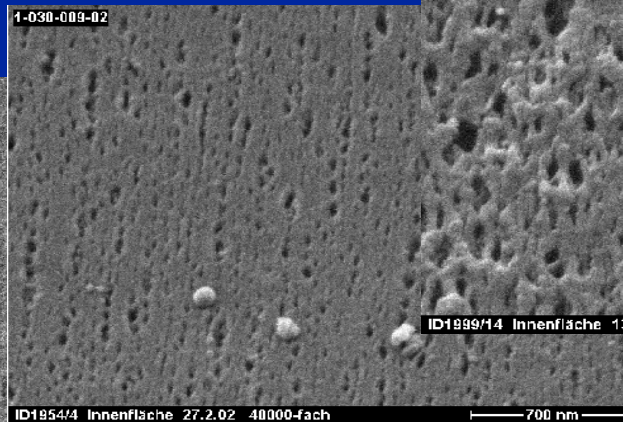
Ø: pore diameter

Ø < 0,01 µm



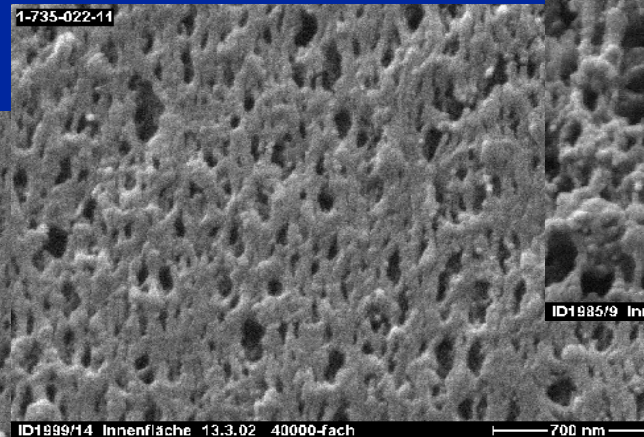
*high flux*

Ø < 0,02 µm



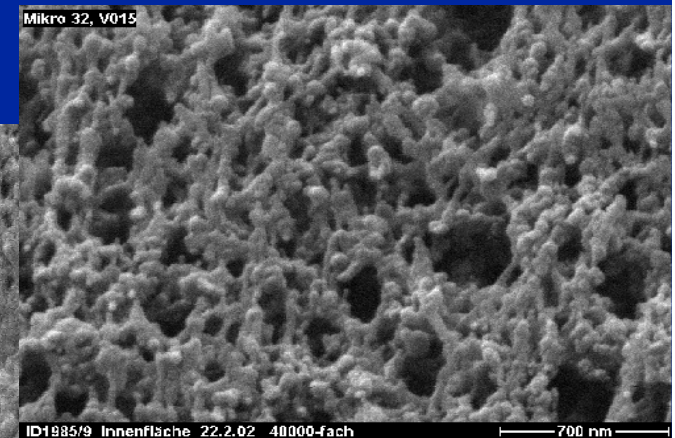
*high cut-off\**

Ø ~ 0,09 µm



*protein  
separation  
membrane*

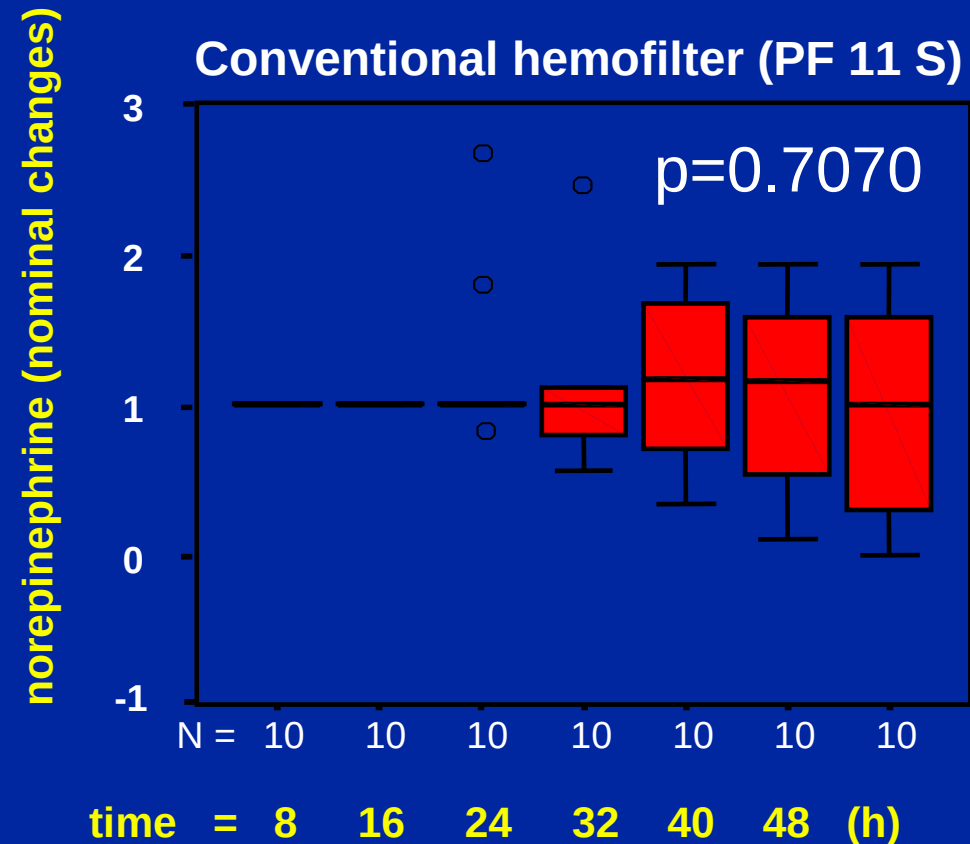
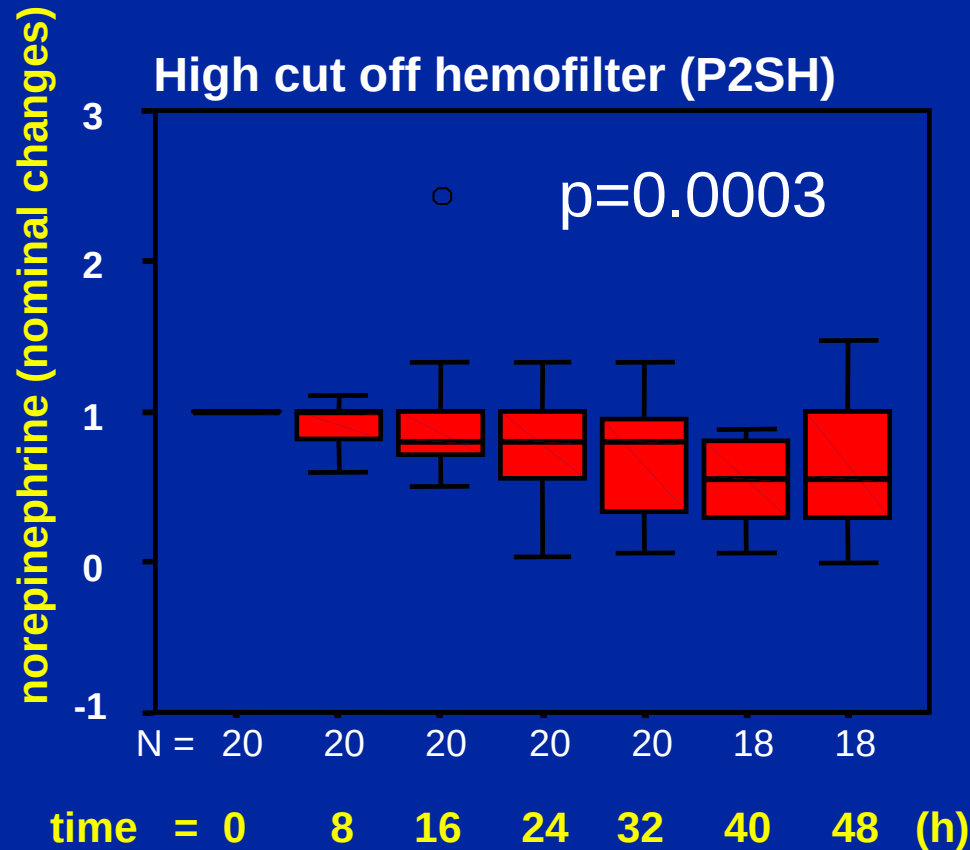
Ø ~ 0,30 µm



*plasma  
separation  
membrane*



# Effect of High Permeability Hemofiltration on Norepinephrine Requirements

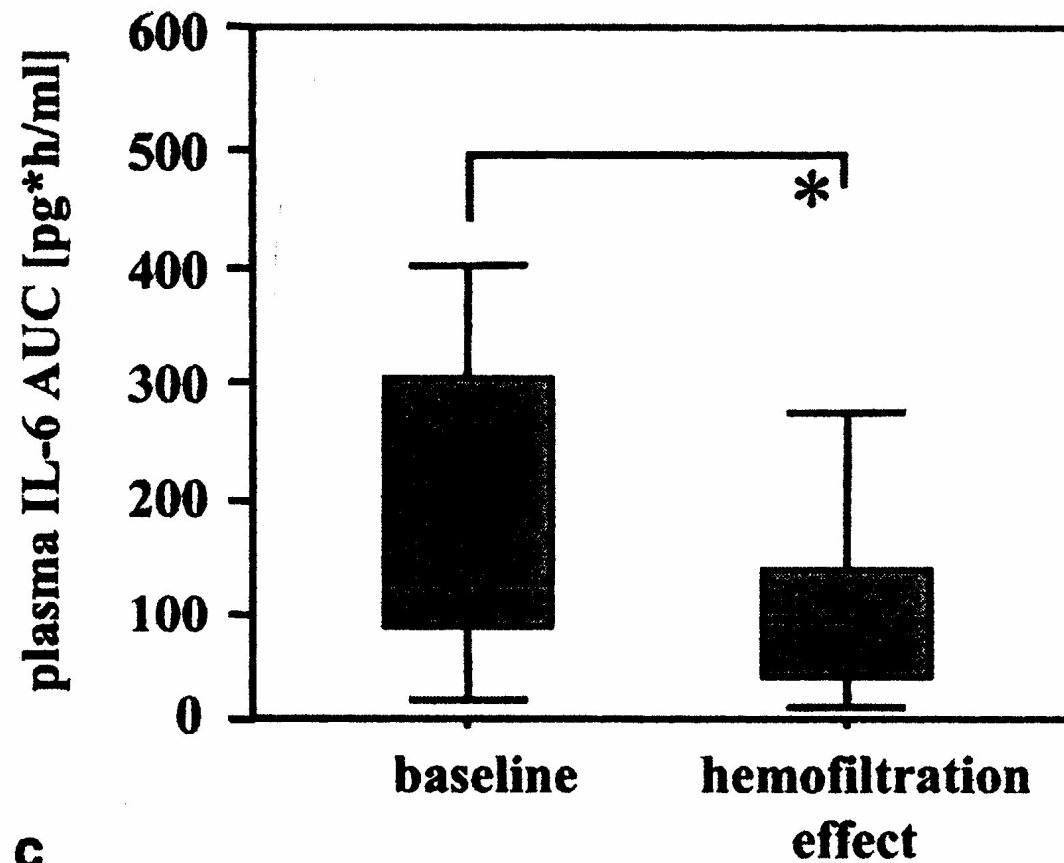


$p=0.0303$  between P2SH and PF11S

Morgera et al,  
Intensive Care Med 2003

# Effect of High Permeability Hemofiltration on Plasma IL-6 AUC

Morgera et al, Intensive Care Med 2003



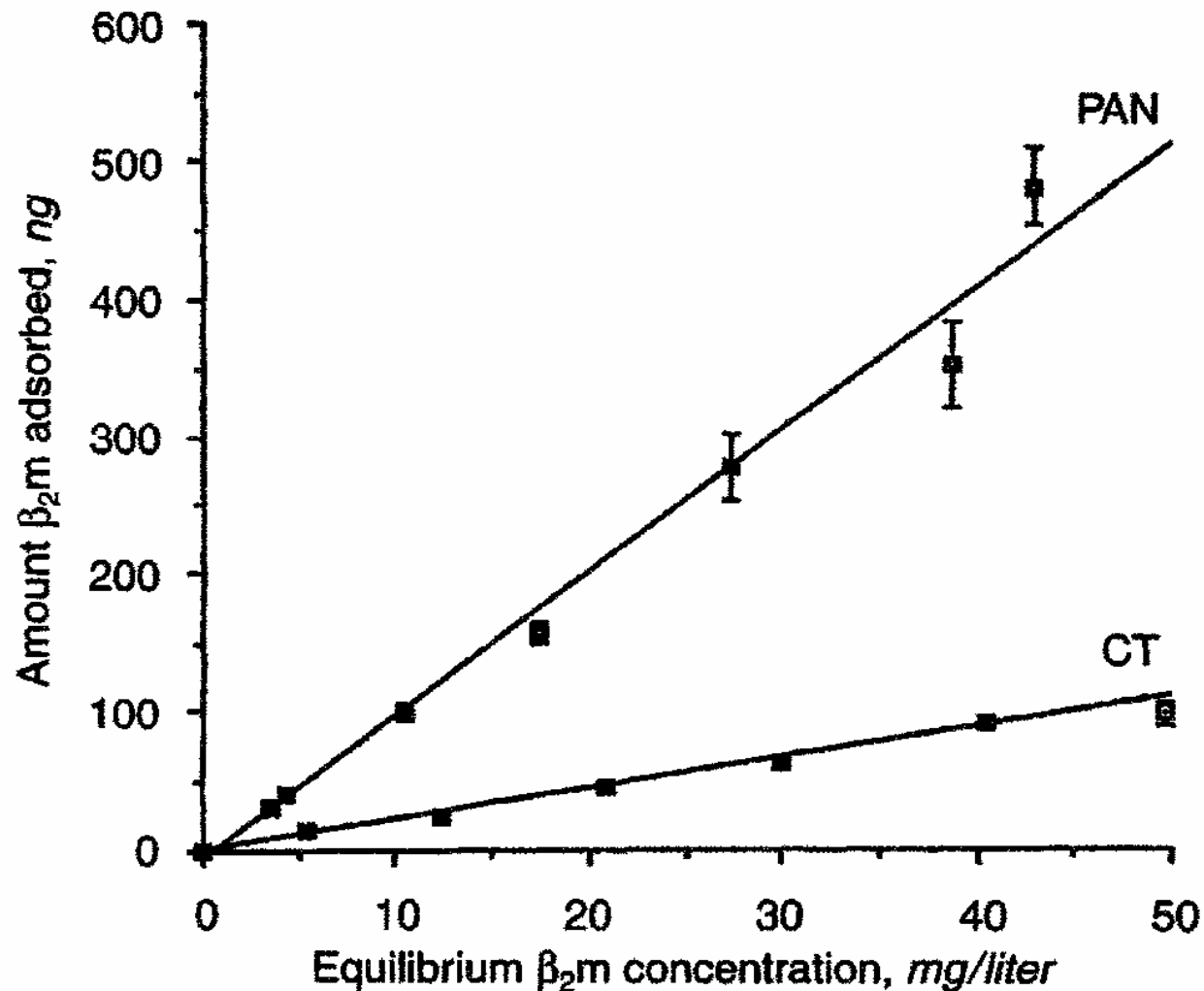
c

## AN69: Historical Perspective

- Copolymer of acrylonitrile and an anionic sulfonate group
- AN69 was first commercially available synthetic membrane (mid 1970's)
- With respect to complement activation, AN69 was recognized as the clinical reference against which other membranes were compared
  - Partially explained by membrane's unique capability to adsorb complement activation products
- “Surface-treated” filter (AN69ST) developed for chronic HD application in 2000 and for CRRT application in 2003

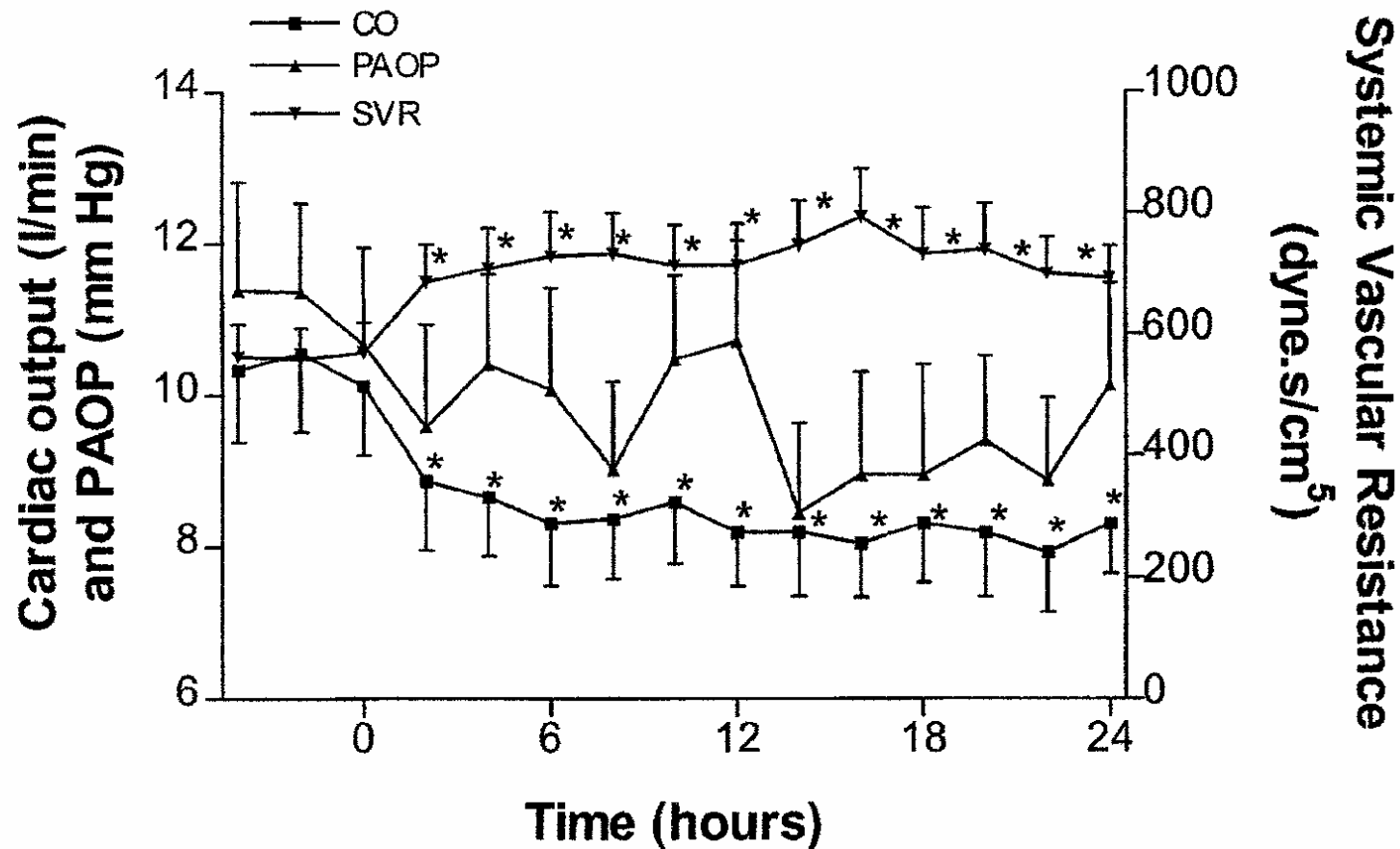
# Adsorptive $\beta_2$ -Microglobulin Removal by AN69 vs Cellulose Triacetate

Clark et al, Kidney Int 1995



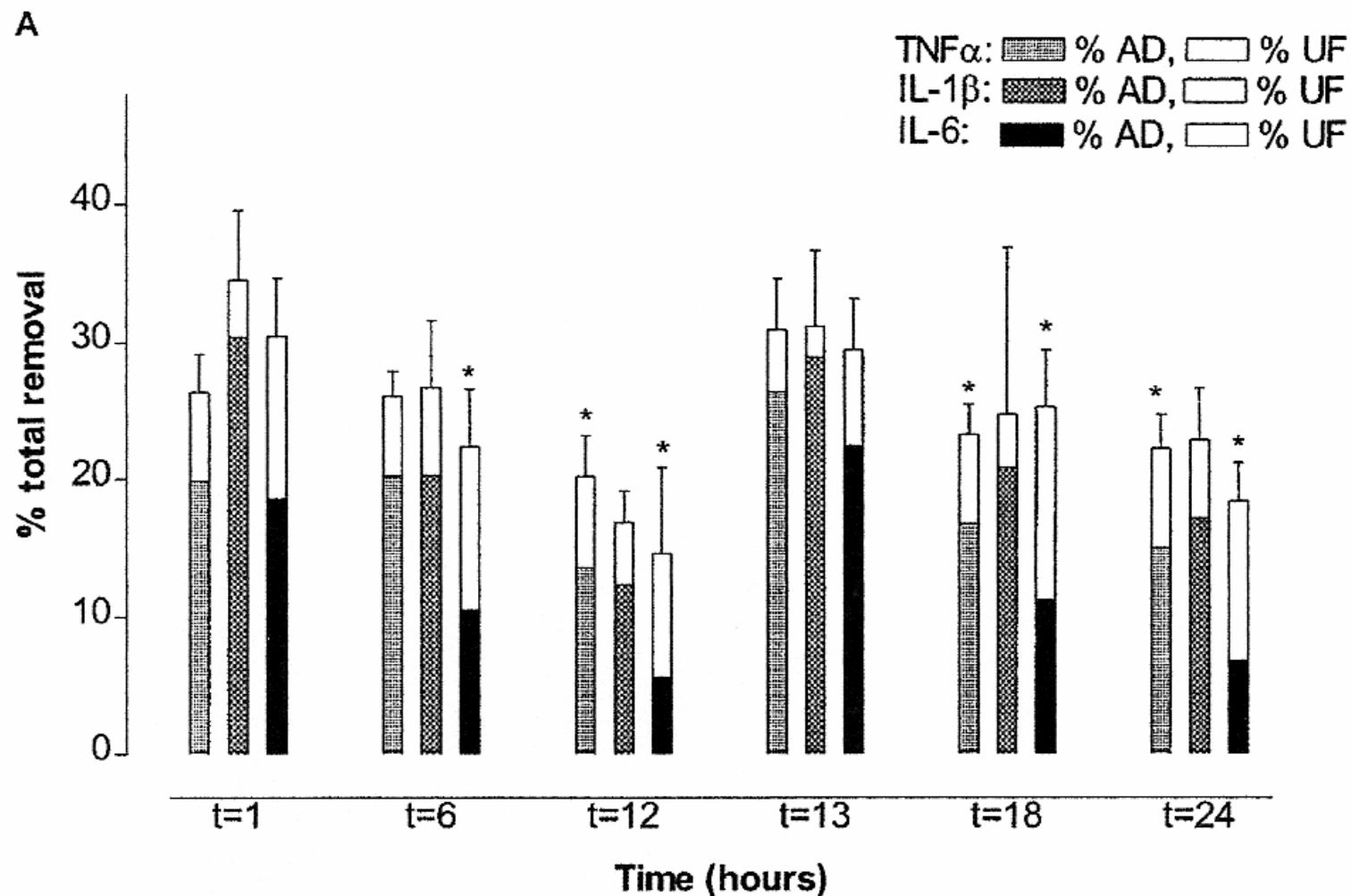
# Effect of CVVH with an AN69 Filter on Hemodynamic Parameters in Septic AKI Patients

De Vriese et al., JASN 1999



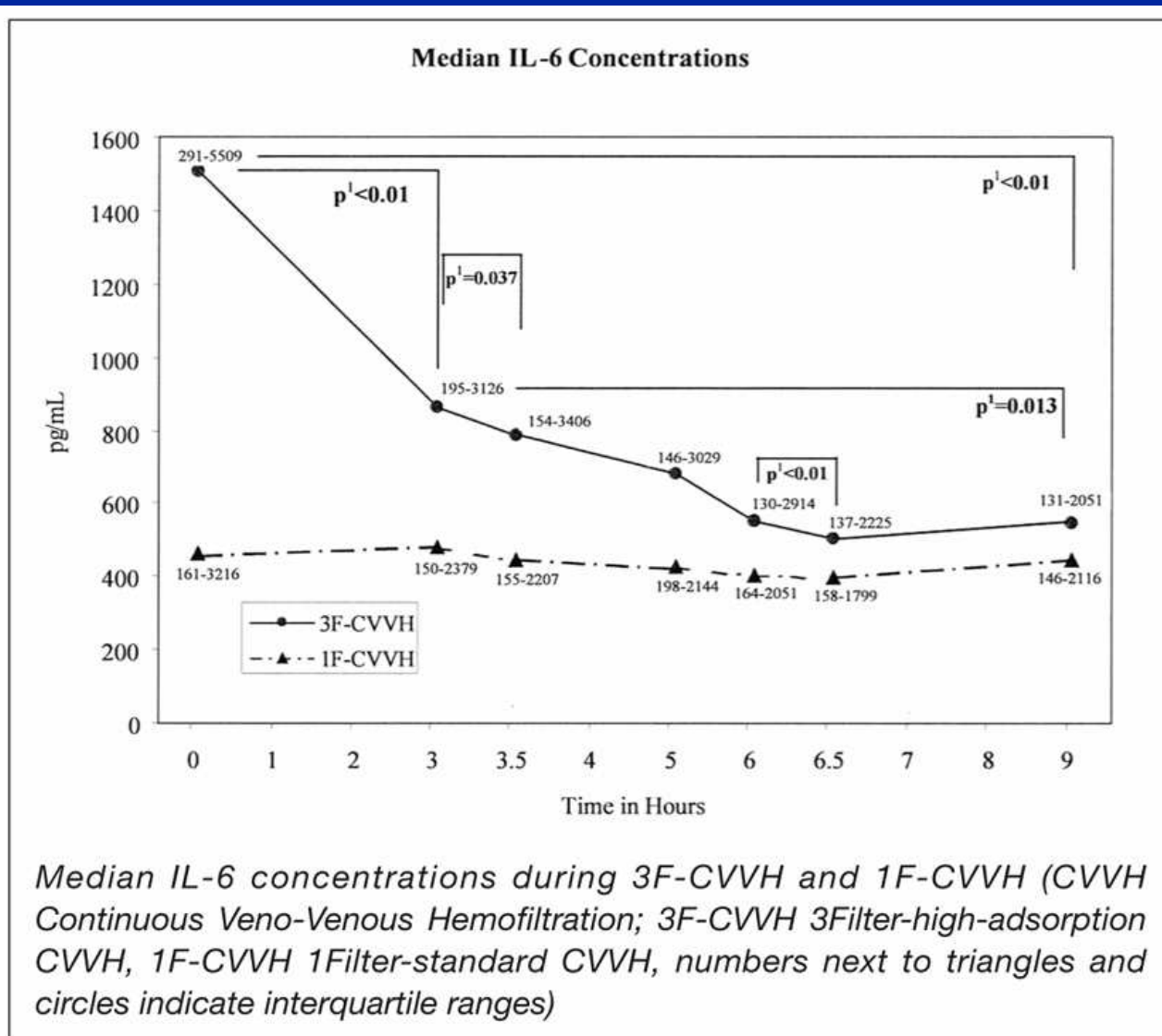
# Cytokine Removal in “Standard” CRRT for AKI (AN69 Filter)

De Vriese et al, JASN 1999

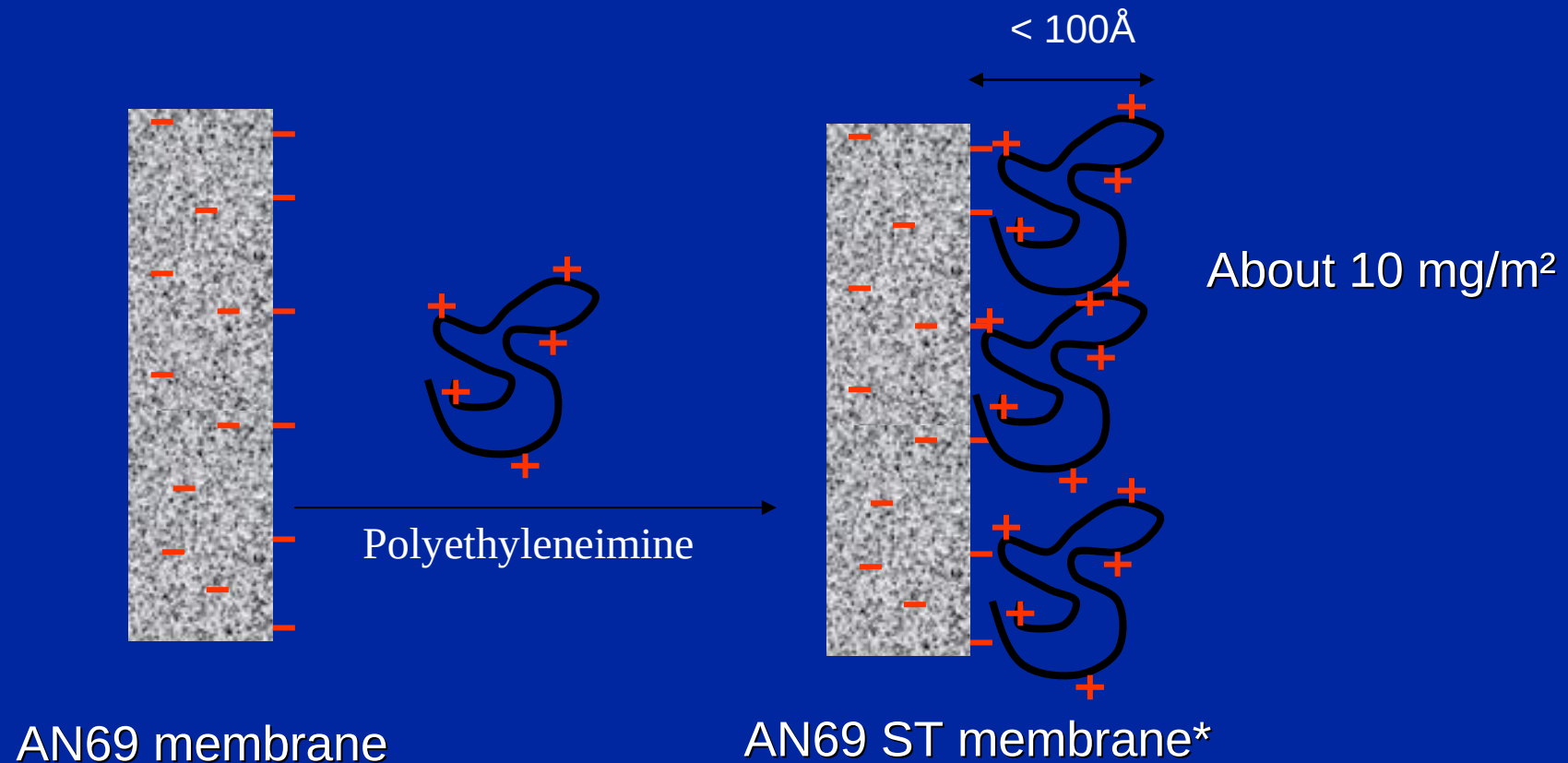


# IL-6 Concentration Changes – AN69

Haase et Al., Int J Artif Organs 2007

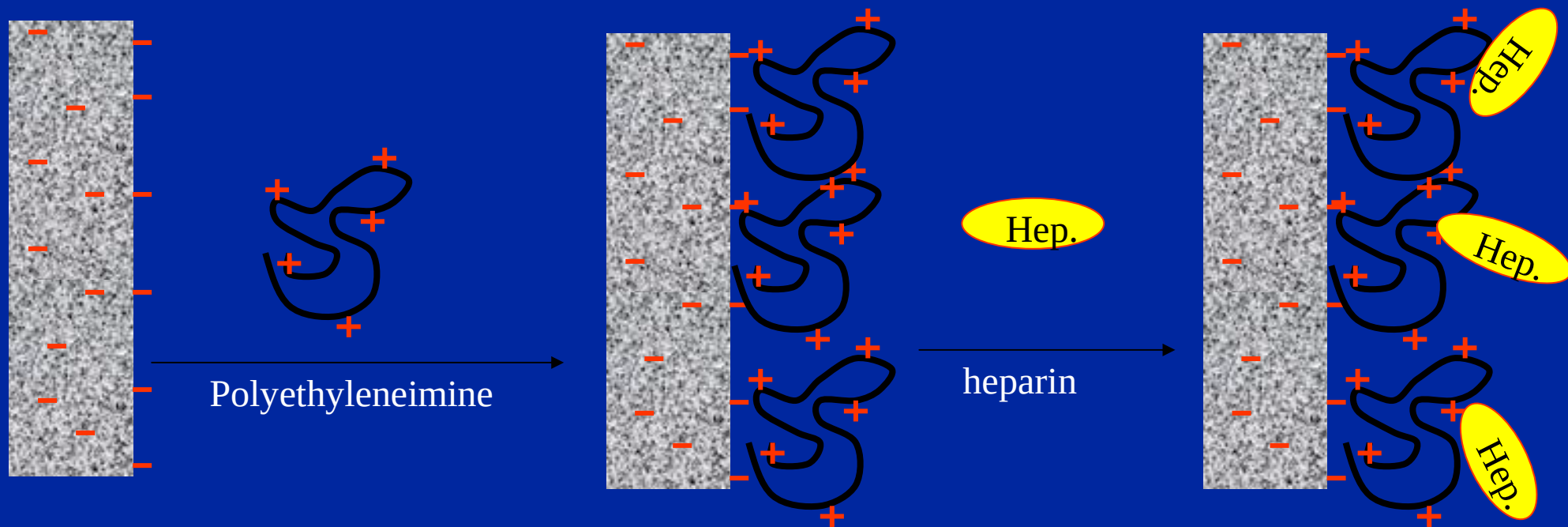


# Surface Treatment: Principle



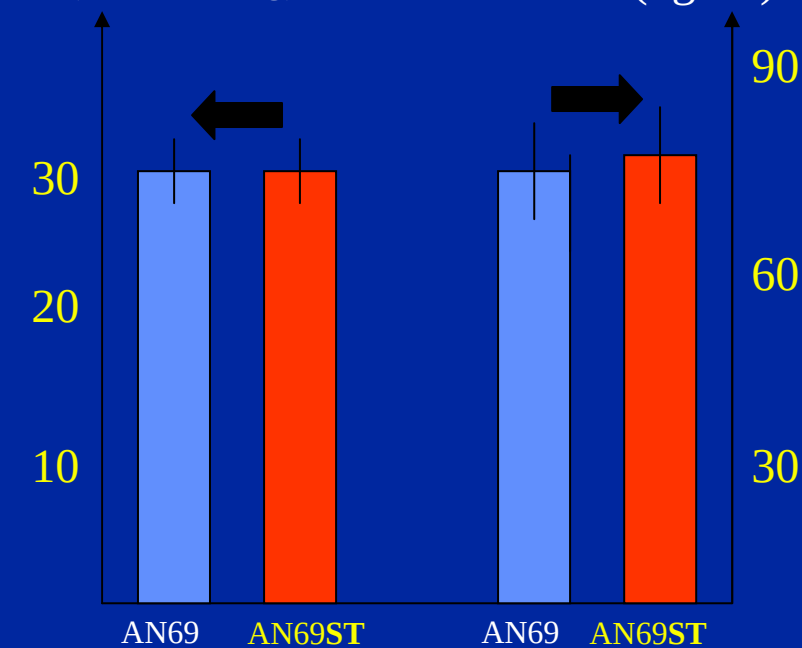


# AN69 ST\* and Heparin Adsorption

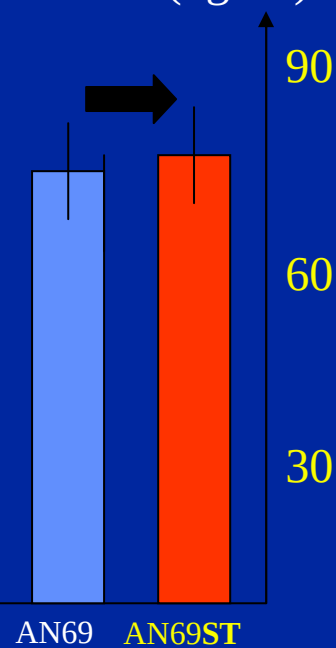


# Effect of Surface Modification on Membrane Properties of AN69ST

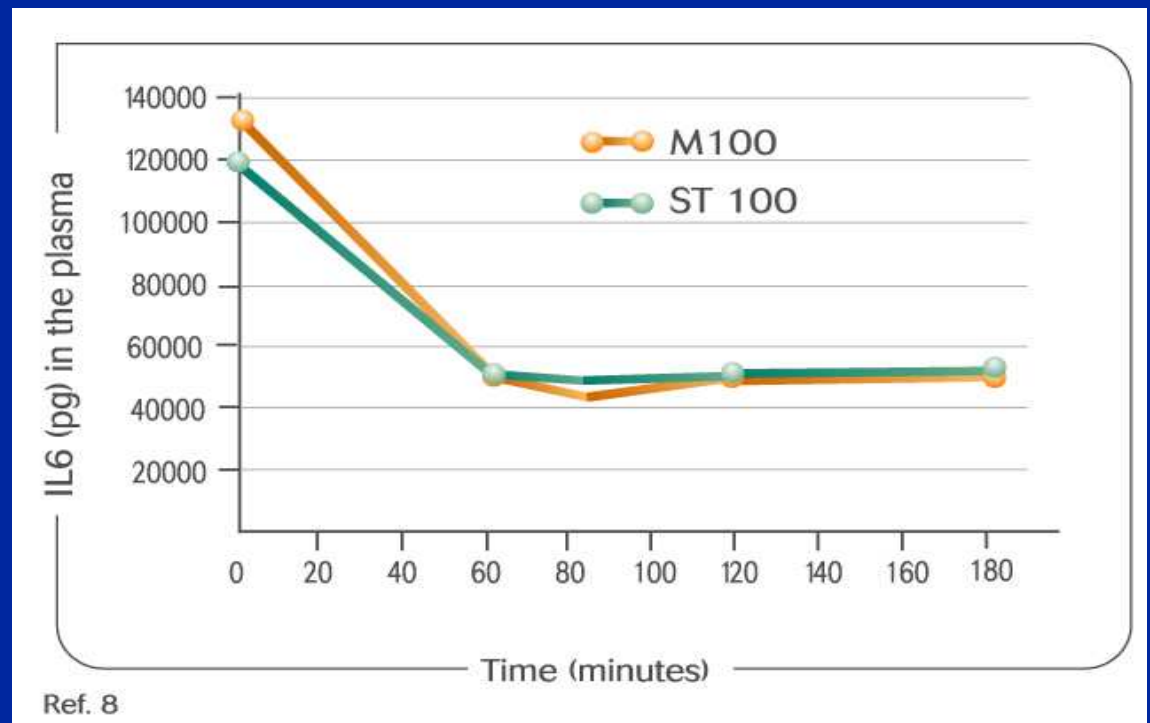
**Permeability**  
mL/(h.m<sup>2</sup>.mmHg)



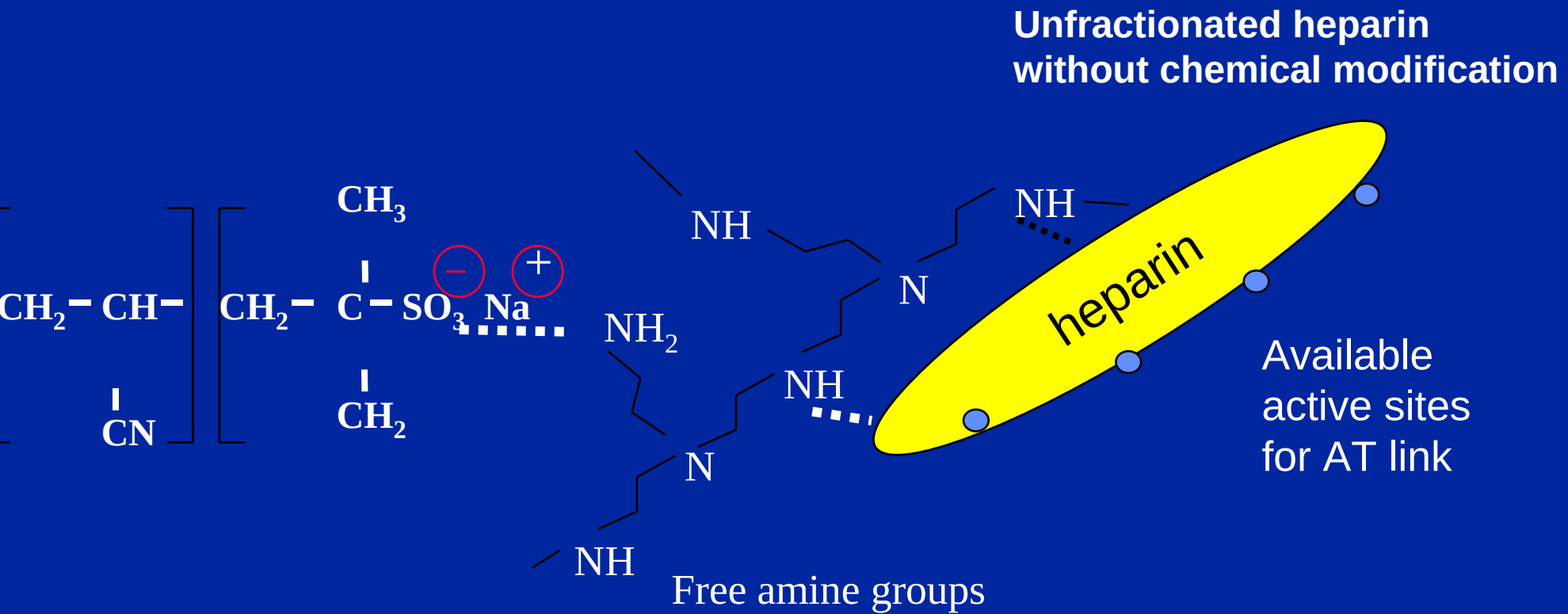
**Adsorption TNF**  
(ng/m<sup>2</sup>)



Adsorption kinetics of IL-6 in plasma



# oXiris: Grafting Mechanism (Heparin)



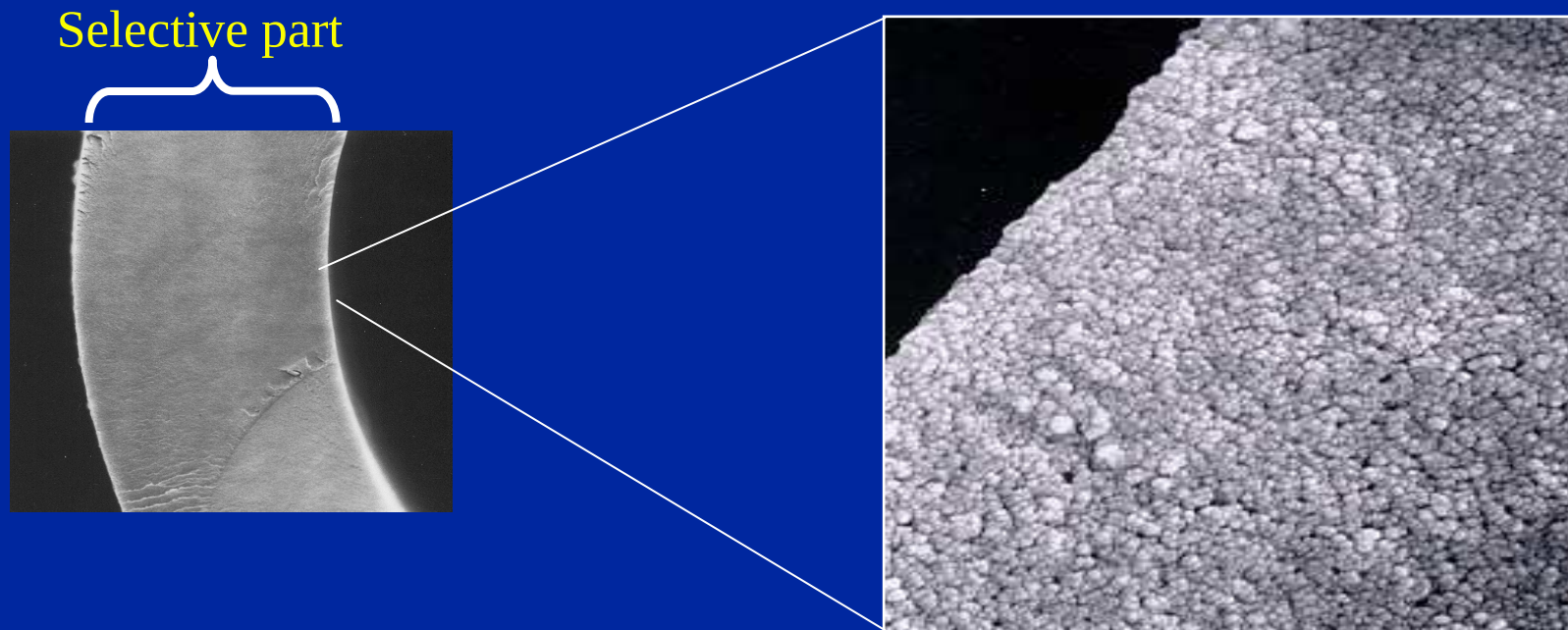
# oXiris: Adsorption of Low-Molecular Weight Proteins

AN69 membrane:

- symmetrical / dense microstructure
- homogeneous distribution of sulphonate groups
- high sieving coefficient for low molecular weight proteins (< 40 000Da)

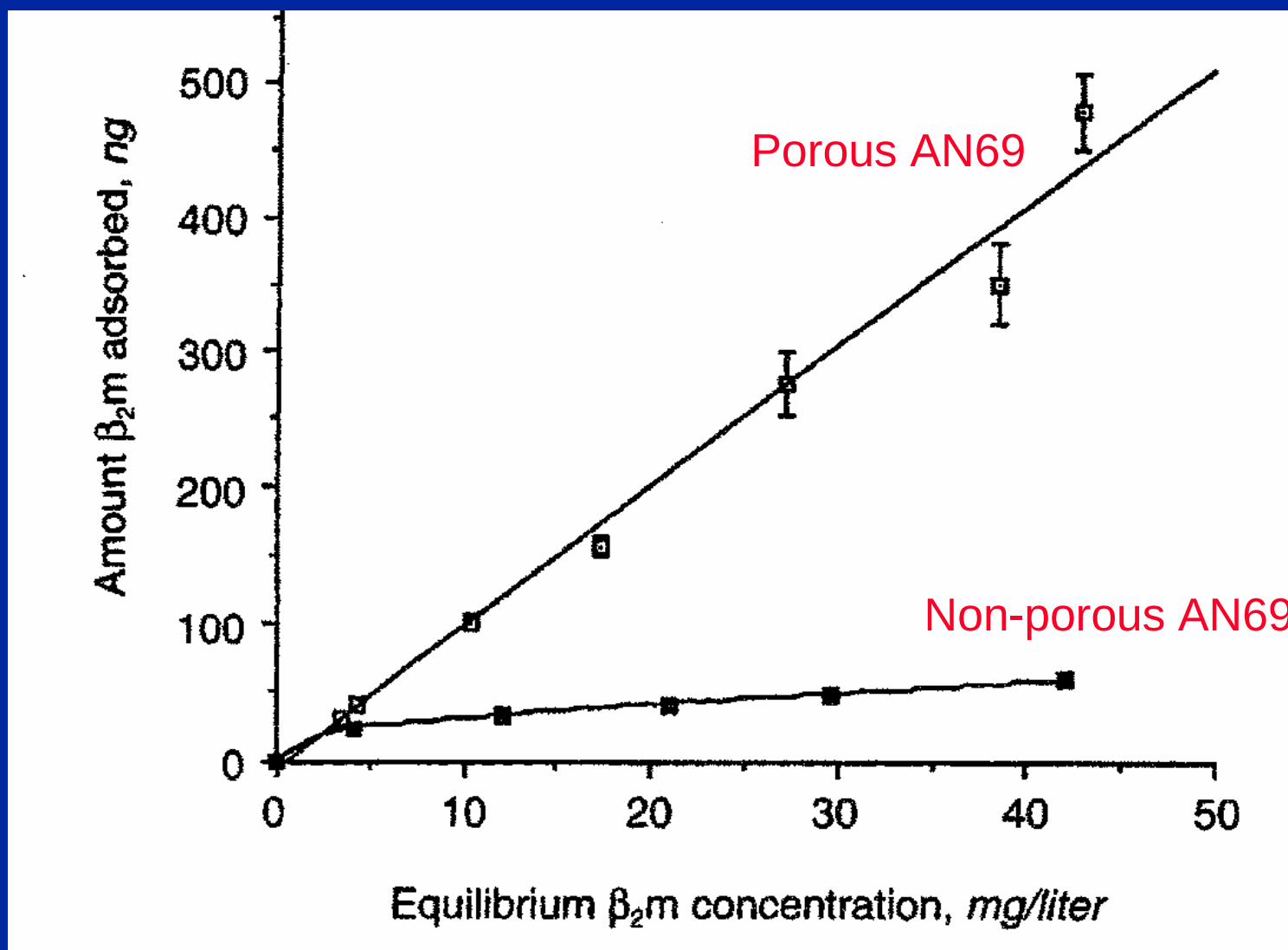
**Adsorption mechanism based on ionic binding in bulk**

600 nm



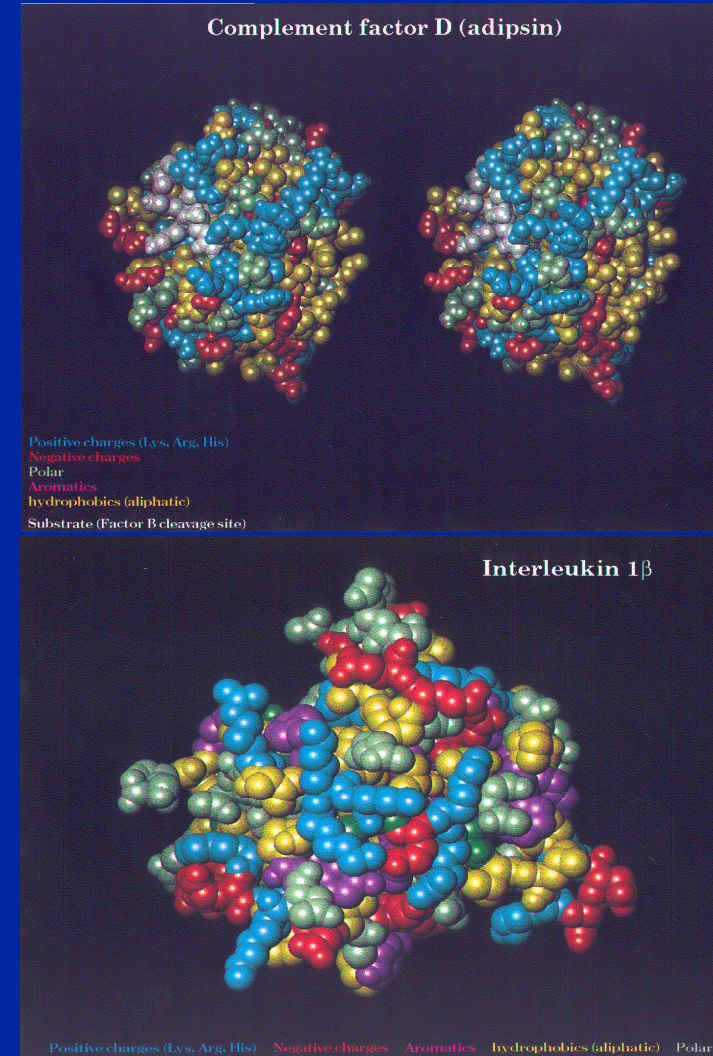
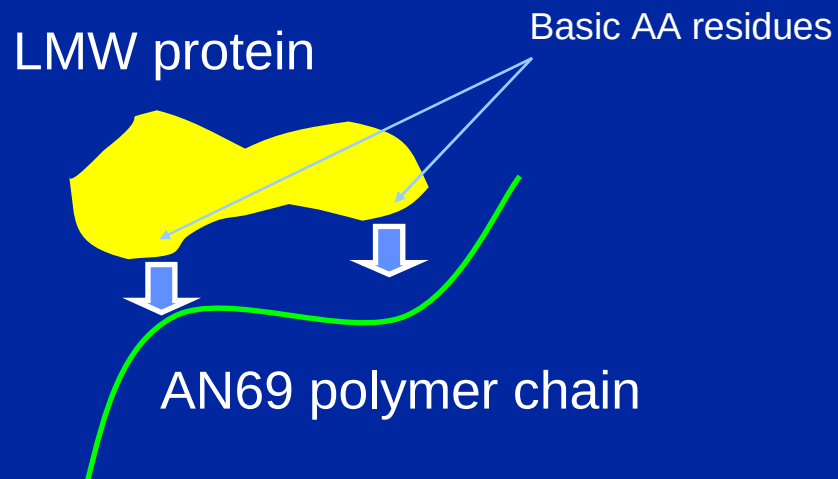
# B2M Adsorption Isotherms

Clark et al, Kidney Int 1994



# oXiris: Action Mode

## Low molecular weight protein adsorption properties



- Adsorption of low molecular weight proteins to hemodialysis membranes: experimental results and simulations - P. Valette, M. Thomas, P. Déjardin Biomaterials, 20, 1999, 1621
- Influence of the charge of low molecular weight proteins on their efficiency of filtration and / or adsorption on dialysis membranes with different intrinsic properties - N. Moachon, M. Thomas, G. Quash Biomaterials, 23, 2002, 651

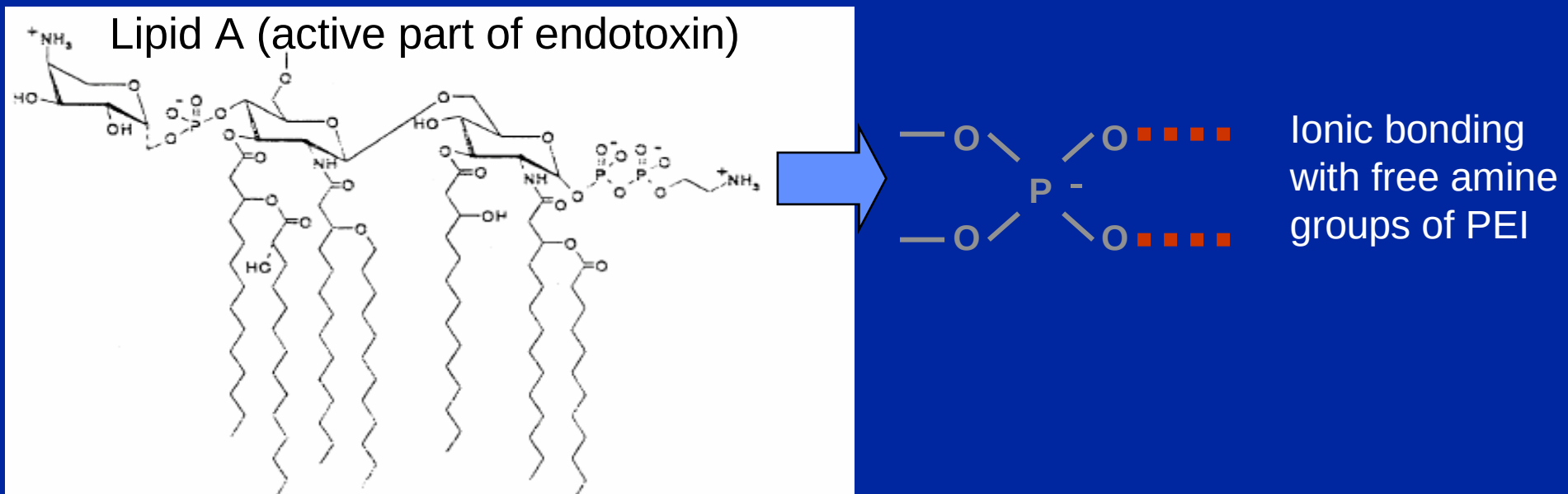


# oXiris: Action Mode

## Endotoxin adsorption

Endotoxin:

- large MW molecule (100 000 to 5M)
- major component of gram – bacteria
- chemical composition: polysaccharide, carbohydrate and lipid A (LPS)



\*\* S. Morimoto et al, Polymer journal, vol.27, 8, 1995, 831  
S. Mitzner et al, Artificial organs, 17 (9), 1993, 775

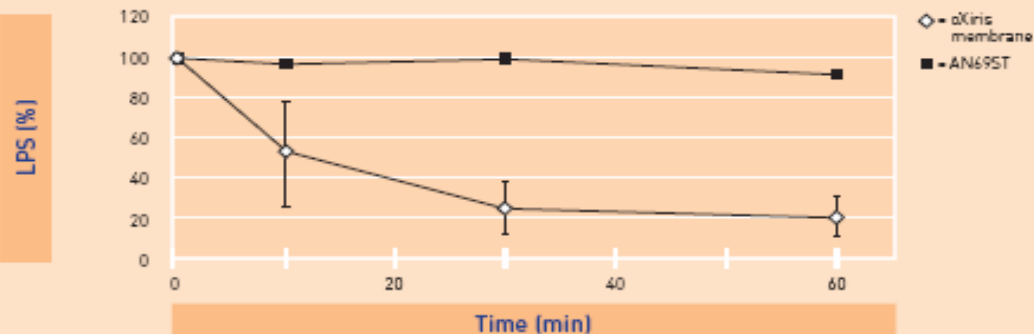
## **oXiris Filter: Adsorption**

- **Cytokine adsorption** : adsorption takes place in the membrane bulk mainly on the sulfonic groups (negligible influence of the surface treatment)
- **Endotoxin adsorption**: adsorption only due to the surface treatment (interaction between amine groups of PEI and phosphate groups of lipid A).

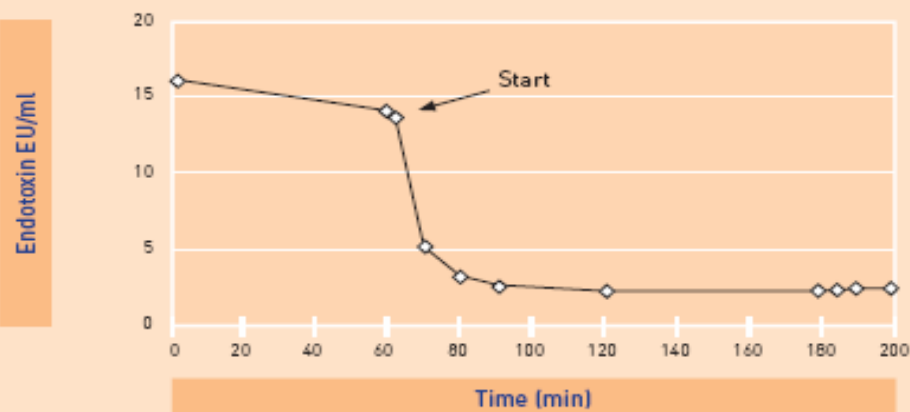


# oXiris – LPS Adsorption

LPS adsorption on AN69ST and oXiris membranes –  
Endotoxin E.C. 055B5, bovine blood



LPS adsorption on oXiris surface area 1.6 m<sup>2</sup>,  
oXiris closed circuit, 500 ml, bovine blood



## Experimental in-vitro conditions

- Saline (NaCl 9g/L) and bovine blood (60g/L of protein, Htc 32%)
- Saline or blood volume: 500 mL
- Saline or blood flow rate: 150 mL/min (closed circuit)
- Ultrafiltration rate: 2 L/h (recircling)
- Endotoxin from E. Coli (055:B5)
- Initial concentration: 10 EU/mL in saline, 40 EU/mL in blood
- Test duration: 1 or 4h

# oXiris – Septic Shock Model

## An improvement of hemodynamic parameters with oXiris

| hemodynamic and biochemical parameters | AN69 membrane (n=10) | Treated membrane (oXiris) (n= 10) | P-value |
|----------------------------------------|----------------------|-----------------------------------|---------|
| HR (beats/min)                         | 138 ± 20             | 148 ± 16                          | 0,23    |
| MAP (mmHg)                             | 64 ± 6               | 59 ± 8                            | 0,13    |
| SPAP (mmHg)                            | 39 ± 9               | 30 ± 8                            | 0,029   |
| MPAP (mmHg)                            | 34 ± 8               | 24 ± 7                            | 0,008   |
| PCWP (mmHg)                            | 12 ± 3               | 11 ± 4                            | 0,53    |
| CO (l/min)                             | 6,9 ± 4,8            | 5,5 ± 2,8                         | 0,44    |
| SAR (dyn/s/cm <sup>2</sup> )           | 672 ± 205            | 797 ± 346                         | 0,34    |
| PAR (dyn/s/cm <sup>2</sup> )           | 325 ± 186            | 234 ± 148                         | 0,24    |
| Epinephrine (mg)                       | 3,27 ± 3,02          | 2,11 ± 1,05                       | 0,27    |
| Crystalloids (ml)                      | 7587 ± 1456          | 5937 ± 1588                       | 0,026   |
| Hydroxyethylstarch (ml)                | 1912 ± 538           | 1437 ± 320                        | 0,027   |
| pH                                     | 7,10 ± 0,07          | 7,20 ± 0,11                       | 0,026   |
| Lactate (mmol/l)                       | 14,11 ± 3,36         | 9,61 ± 4,47                       | 0,02    |

P< 0.03

P< 0.03

P< 0.03

P< 0.03

P< 0.03

P< 0.03

### Experimental in-vivo conditions

- Pig model 35 kg
- Injection Pseudomonas aeruginosa
- Stabilization 1h
- Arterial and pulmonary arterial catheters
- 2 groups (2x10)
- 1 Group treated by haemofiltration with current M100 device (untreated AN69 membrane), 1m<sup>2</sup>, 6h
- 1 Group treated by oXiris (surface treated, pre-heparinized membrane), 1m<sup>2</sup>, 6h
- Mean arterial pressure maintained at 65 mmHg by continuous injection of crystalloids and colloids.
- Medication with epinephrine.

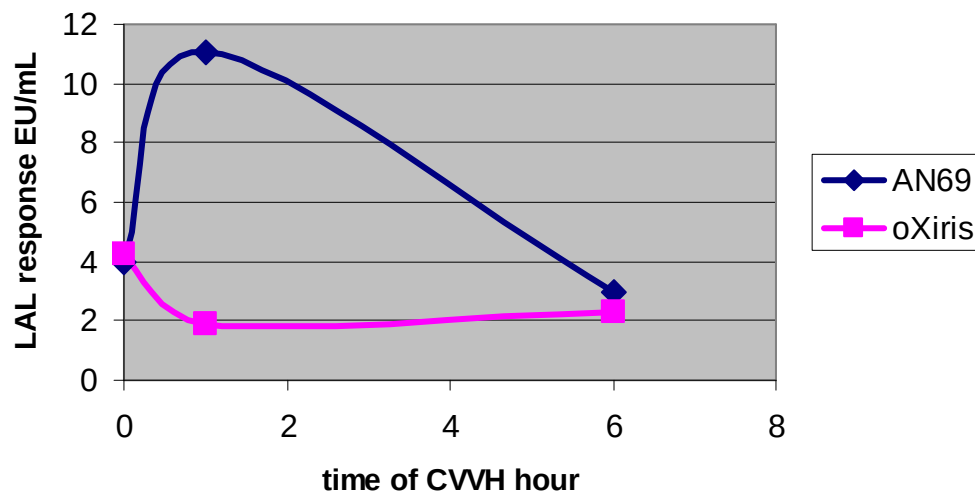
# Endotoxin Removal - oXiris

## Rimmele et al., Nephrol Dial Transplant 2008

Endotoxin plasma concentration

|    | AN69 mb ( <i>n</i> = 10) | Treated mb ( <i>n</i> = 10) |
|----|--------------------------|-----------------------------|
| T0 | 3.98 ± 3.31              | 4.26 ± 7.68                 |
| T1 | 11.07 ± 10.64            | 1.91 ± 1.19 <sup>a</sup>    |
| T6 | 2.96 ± 2.75              | 2.26 ± 2.39                 |

endotoxin plasma concentration



|    | Cytokines     | AN69 mb ( <i>n</i> = 10) | Treated mb ( <i>n</i> = 10) | <i>P</i> -value |
|----|---------------|--------------------------|-----------------------------|-----------------|
| T0 | IL-1 $\beta$  | 0 (0–0)                  | 0 (0–0)                     | 0.30            |
|    | IL-1ra        | 0 (0–0)                  | 0 (0–0)                     | 0.30            |
|    | TNF- $\alpha$ | 192 (67–826)             | 1247 (235–1846)             | 0.08            |
|    | IL-6          | 0 (0–0)                  | 0 (0–0)                     | 0.30            |
| T6 | IL-1 $\beta$  | 230 (126–350)            | 98 (49–205)                 | 0.04            |
|    | IL-1ra        | 1434 (1053–1662)         | 1254 (803–1490)             | 0.50            |
|    | TNF- $\alpha$ | 280 (174–409)            | 227 (139–302)               | 0.60            |
|    | IL-6          | 104 (77–412)             | 66 (60–244)                 | 0.20            |

T0 = beginning of HVHF, T1 = time after 1 h of HVHF, T6 = time after 6 h of HVHF.

Statistics: ANOVA for repeated measurements followed by a Duncan post test. *P* = 0.014 for the time factor, *P* = 0.064 for the type of membrane.

Using a Duncan post test, we found a significant difference at T1 between groups (*P* = 0.035)<sup>a</sup>.

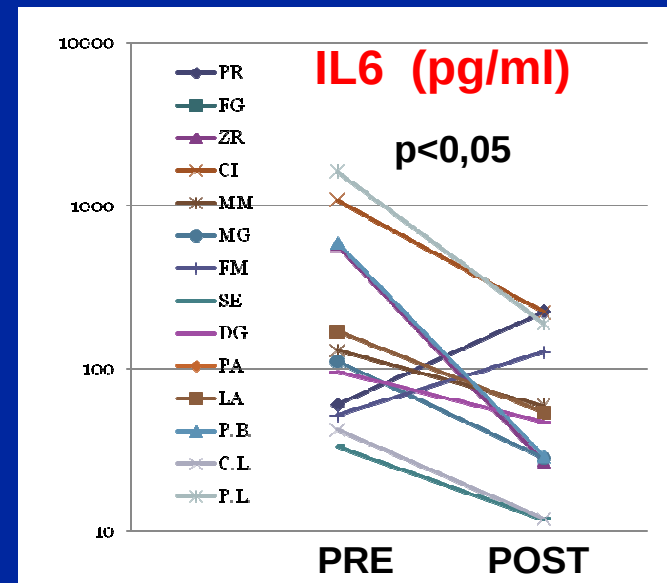
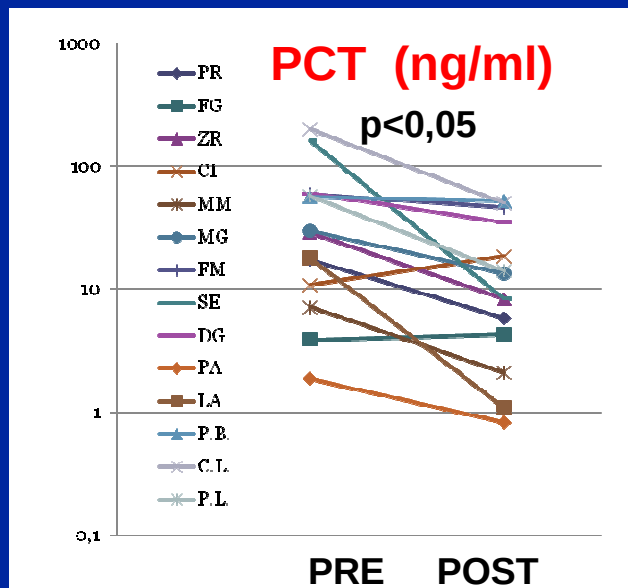
# Removal of Endotoxins and Cytokines during CRRT

- Oxiris, AN 69 membrane treated with a polyethyleneimine (PEI) and grafted with heparin with increased absorption of endotoxins
- CVVHDF with effluent dose of 50 ml/kg/h (60% convective)
- 14 patients with AKI having severe sepsis (n=7) or septic shock (n=7) from gram negative bacterial infection

## Results:

SOFA from  $13.2 \pm 2.5$  to  $8.8 \pm 4.3$  ( $p < 0.05$ );  
 MAP from  $65 \pm 20$  to  $81 \pm 16$  mmHg ( $p < 0.01$ ),  
 URINE OUTPUT from  $0.7 \pm 0.6$  to  $1 \pm 0.6$  L/12h ( $p < 0.05$ ),  
 NORADRENALINE from  $0.3 \pm 0.3$  to  $0.03 \pm 0.04$   $\mu$ g/Kg/h ( $p < 0.05$ )

**Outcome:** Survival 78% (11/14),  
 Renal recovery 81% (9/11)



# Polymixin B Column Systematic Review

Cruz et al, Crit Care 2007

- This systematic review of the published literature found positive effects of PMX-F:
  - ↑ MAP
  - ↓ dopamine/dobutamine use
  - ↑ PaO<sub>2</sub>/FiO<sub>2</sub> ratio
  - ↓ Mortality
  - ↑ Endotoxin removal
- Limited ability to make conclusions because of the suboptimal quality of included studies
- These putative benefits remain to be determined definitively in a prospective trial

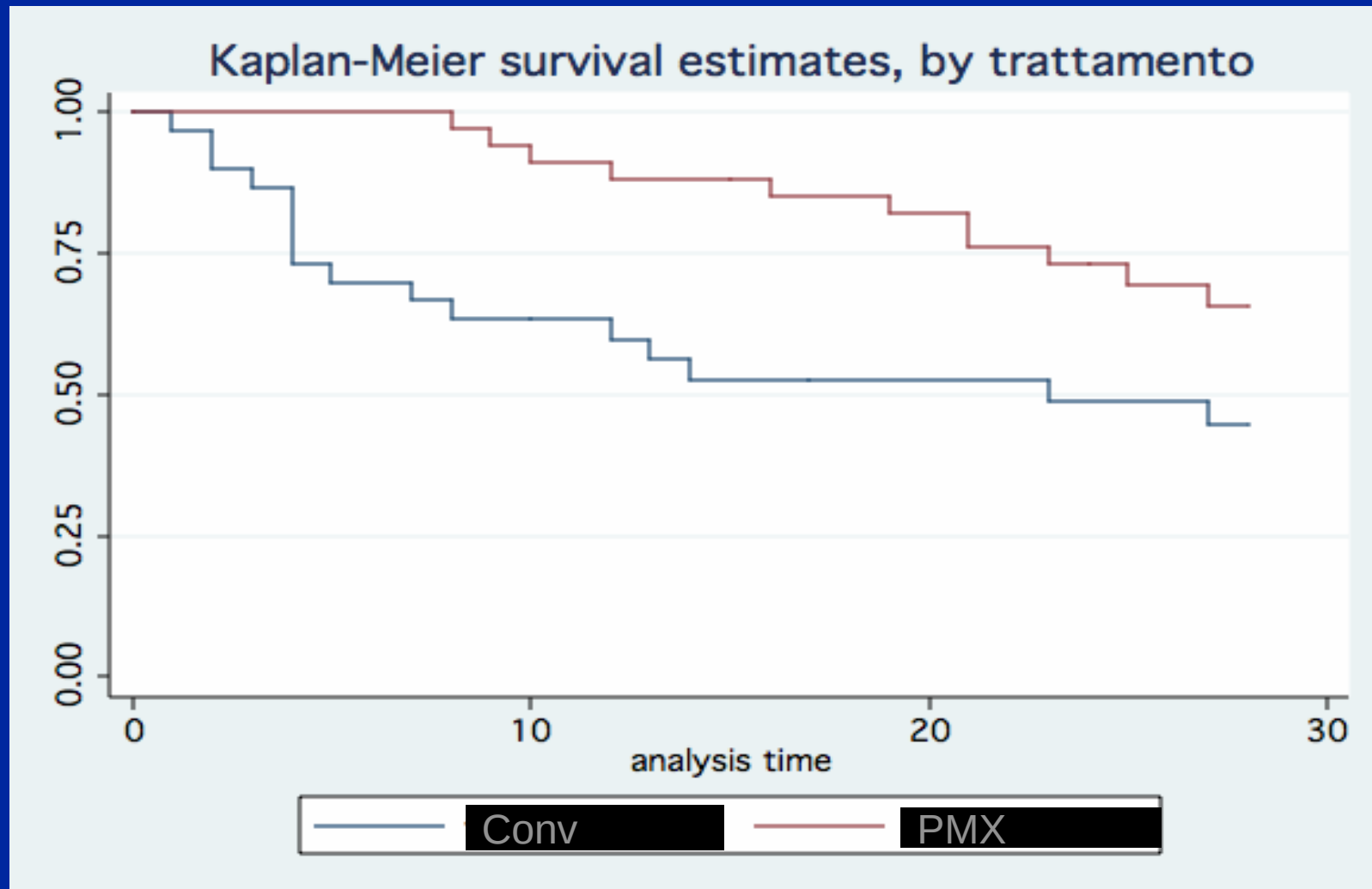
# Baseline Characteristics in EUPHAS Trial

Cruz et al, JAMA 2009

|                                    | Conventional     | PMX              | P    |
|------------------------------------|------------------|------------------|------|
| N                                  | 30               | 34               |      |
| Age (years)                        | 67 ± 15          | 61 ± 13          | 0.19 |
| Male (%)                           | 18 (60)          | 24 (71)          | 0.53 |
| APACHE II                          | 20 ± 7           | 21 ± 6           | 0.86 |
| SOFA                               | 9 ± 4            | 11 ± 3           | 0.07 |
| MAP (mmHg)                         | 74 ± 11          | 76 ± 11          | 0.40 |
| Noradrenaline (µg/kg/min)          | 4.6 ± 4.1        | 3.1 ± 3.9        | 0.13 |
| Dopamine                           | 4.6 ± 4.1        | 3.1 ± 3.9        | 0.13 |
| Inotropic score                    | 16.0 (5.0, 37.3) | 20.0 (8.0, 47.8) | 0.85 |
| WBC (x1000/mm <sup>3</sup> )       | 11.0 ± 6.8       | 13.7 ± 6.8       | 0.12 |
| PaO <sub>2</sub> /FiO <sub>2</sub> | 222 ± 84         | 235 ± 87         | 0.53 |
| Diuresis (ml/hr)                   | 87 ± 80          | 66 ± 60          | 0.22 |
| Creatinine (µmol/L)                | 150 ± 106        | 203 ± 159        | 0.18 |
| (mg/dL)                            | 1.7 ± 1.2        | 2.3 ± 1.8        |      |
| RRT                                | 6 (20)           | 13 (38)          | 0.17 |

# 28-Day Survival in EUPHAS Trial

Cruz et al, JAMA 2009



# Summary

- Although not a “stand-alone” panacea, extracorporeal immo­dulation may provide effective adjuvant support in septic AKI patients – techniques include:
  - High-volume hemofiltration
  - Adsorption-based approaches
  - Large pore (high cut-off) membranes
- The potential clinical benefit of an AN69 membrane-based approach (oXiris) is the ability to achieve:
  - Adsorptive removal of both endotoxin and mediators
  - Anticoagulant effects through immobilized heparin
  - Multi-center oXiris RCT involving patients with AKI and septic shock being organized now
- The clinical effects of other approaches (e.g., high-volume hemofiltration, polymixin B column) remain to be further determined (IVOIRE, EUPHAS II, EUPHRATES Trials underway or complete)